

Семинар ИЯИ  
26 марта 2018



**Search for sterile neutrinos at the  
DANSS experiment**

**Mikhail Danilov, LPI (Moscow)  
for the DANSS Collaboration**

# There are several $\sim 3\sigma$ indications of 4<sup>th</sup> neutrino

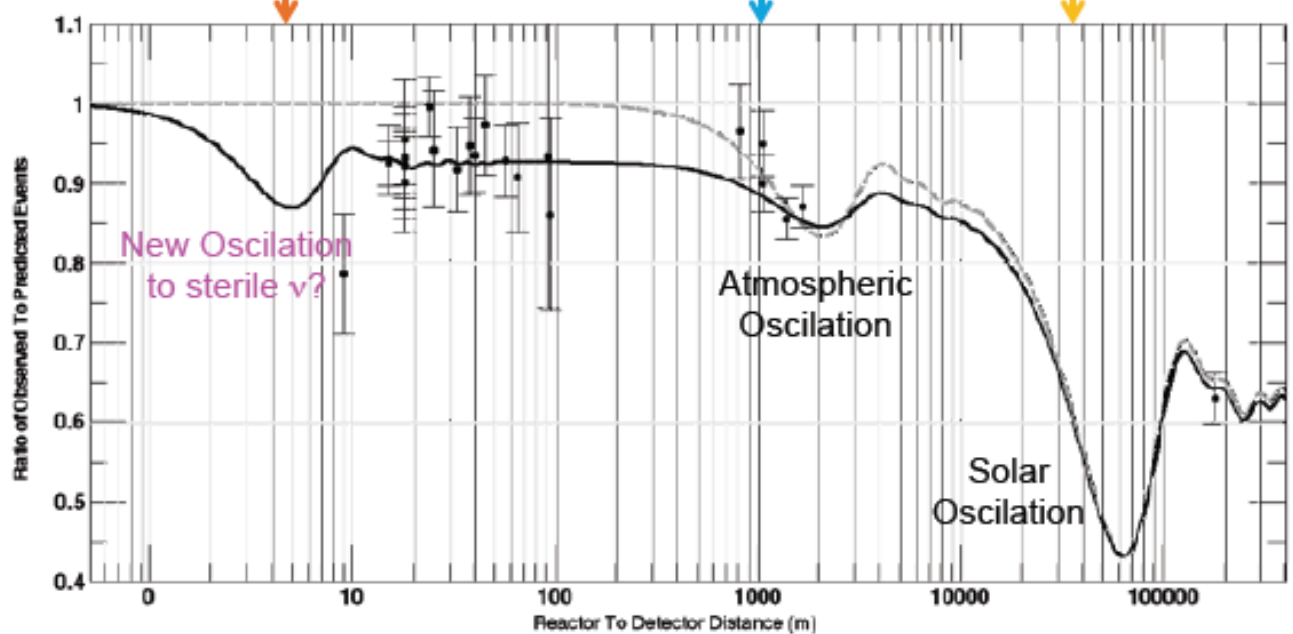
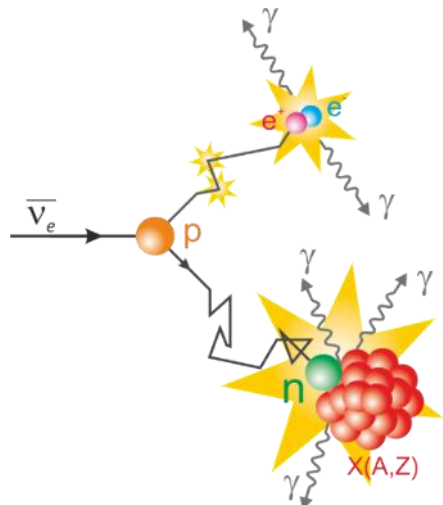
LSND, MiniBoone:  $\bar{\nu}_e$  appearance  
 SAGE and GALEX  $\nu_e$  deficit  
 Reactor  $\bar{\nu}_e$  deficit



Indication of a sterile neutrino  
 $\Delta m^2 \sim 1 \text{ eV}^2$   
 $\sin^2 2\theta_{14} \sim 0.1$   
 $\Rightarrow$  Short range neutrino oscillations

$$P_{\bar{\nu}_e \rightarrow \bar{\nu}_e} = 1 - \underbrace{\sin^2 2\theta_{14} \sin^2 \left( 1.27 \Delta m_{41}^2 \frac{L}{E} \right)}_{\text{sterile } \nu} - \underbrace{c_{14}^4 \sin^2 2\theta_{13} \sin^2 \left( 1.27 \Delta m_{31}^2 \frac{L}{E} \right)}_{\text{atmospheric}} - \underbrace{c_{14}^4 c_{13}^2 \sin^2 2\theta_{12} \sin^2 \left( 1.27 \Delta m_{21}^2 \frac{L}{E} \right)}_{\text{solar}}$$

Inverse Beta Decay (IBD) process

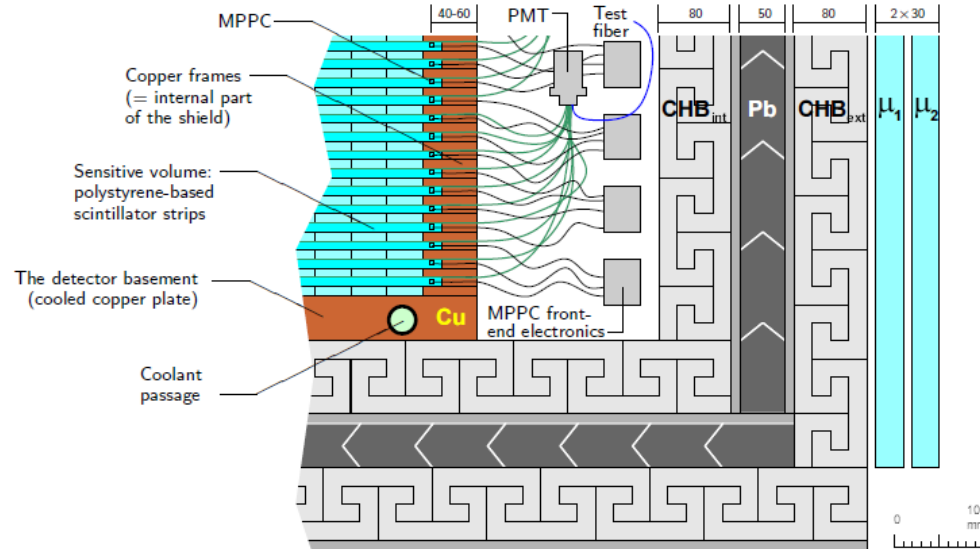
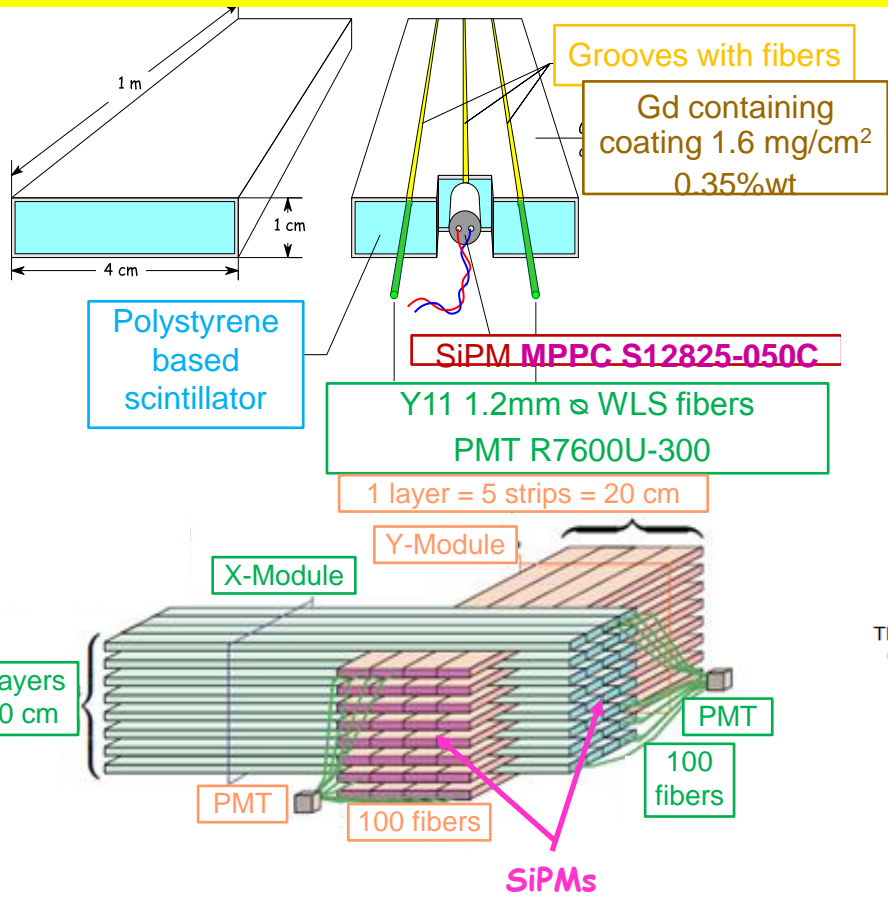
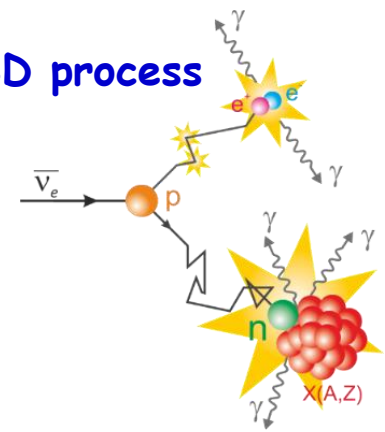


G. Mention et al. Phys Rev D 83 073006 (2011)

Reactor models do not describe well neutrino spectrum  
 Measurements at only one distance are not sufficient!<sup>2</sup>

# DANSS Detector design ( ITEP-JINR Collaboration)

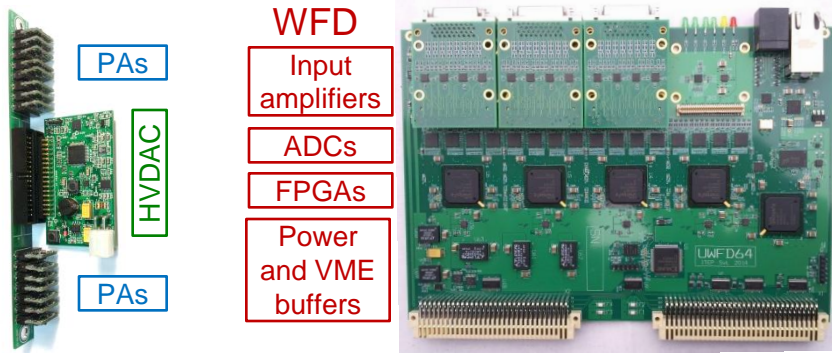
## IBD process



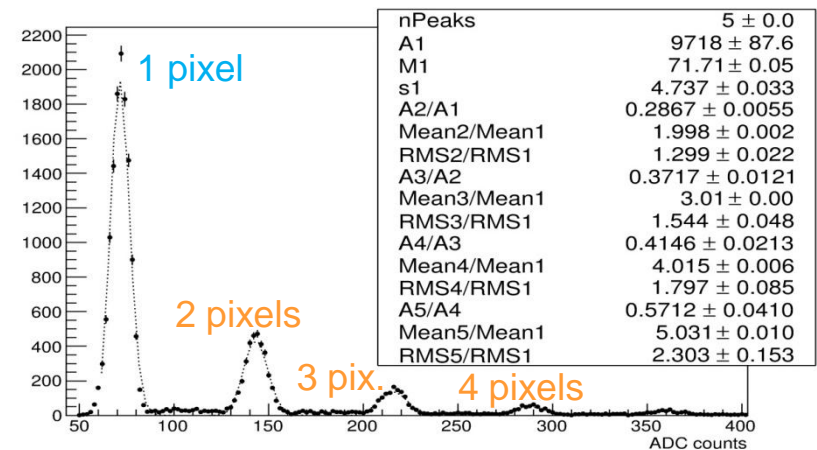
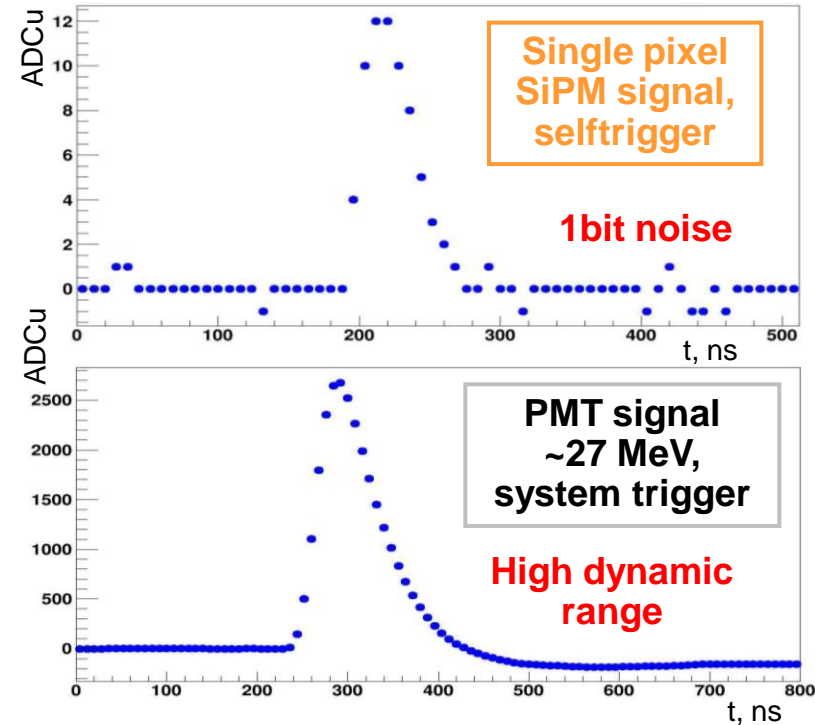
- 2500 scintillator strips with Gd containing coating for neutron capture
- Light collection with 3 WLS fibers
- Central fiber read out with individual SiPM
- Side fibers from 50 strips make a bunch of 100 on a PMT cathode = Module

- Two-coordinate detector with fine segmentation – spatial information
- Multilayer closed passive shielding: electrolytic copper frame ~5 cm, borated polyethylene 8 cm, lead 5 cm, borated polyethylene 8 cm
- 2-layer active  $\mu$ -veto on 5 sides

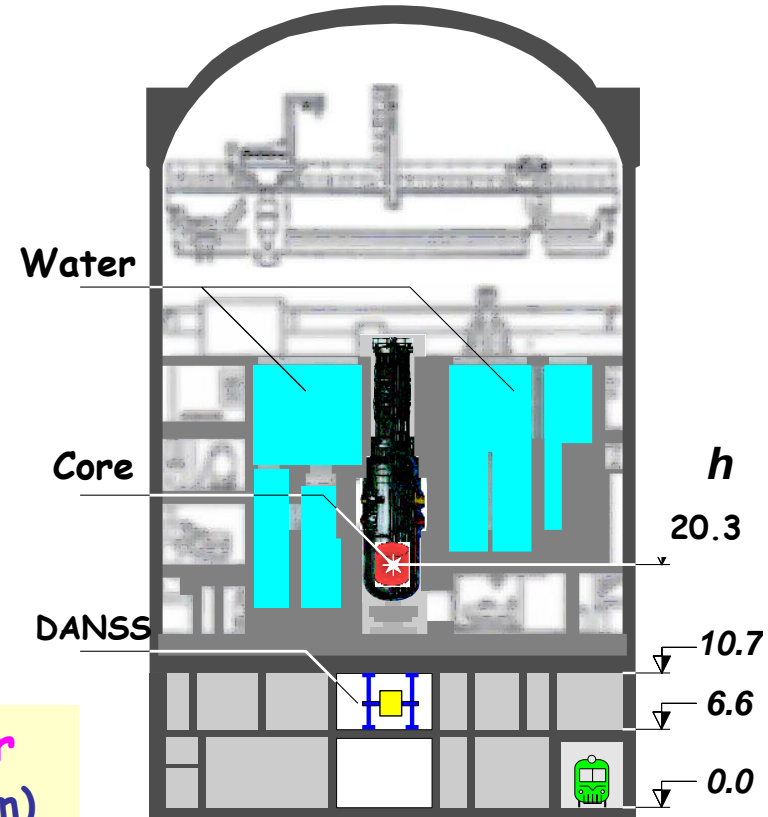
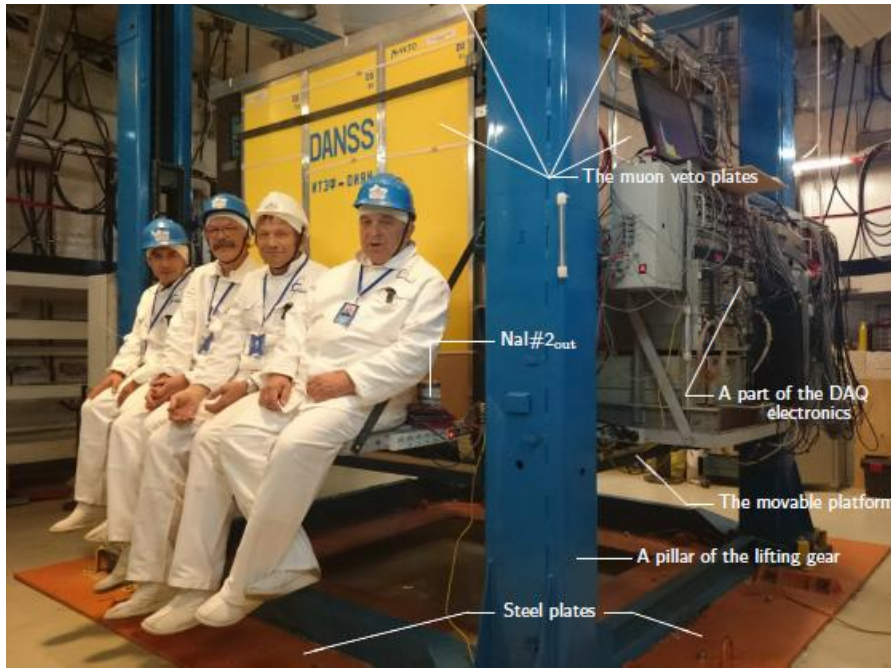
# Data acquisition system



- Preamplifiers PA in groups of 15 and SiPM power supplies HVDAC for each group inside shielding, current and temperature sensing
- Total 46 Waveform Digitisers WFD in 4 VME crates on the platform
- WFD: 64 channels, 125 MHz, 12 bit dynamic range, signal sum and trigger generation and distribution (no additional hardware)
- 2 dedicated WFDs for PMTs and  $\mu$ -veto for trigger production
- Each channel low threshold selftrigger on SiPM noise for gain calibration
- Exceptionally low analog noise  $\sim 1/12$  p.e.



# DANSS at Kalinin Nuclear Power Plant



DANSS is installed on a movable platform under 3GW WWER-1000 reactor (Core:  $h=3.7\text{m}$ ,  $\varnothing=3.1\text{m}$ ) at Kalinin NPP.

$\sim 50$  mwe shielding  $\Rightarrow \mu$  flux reduction  $\sim 6!$   
No cosmic neutrons!

Detector distance from reactor core 10.7-12.7m (center to center)

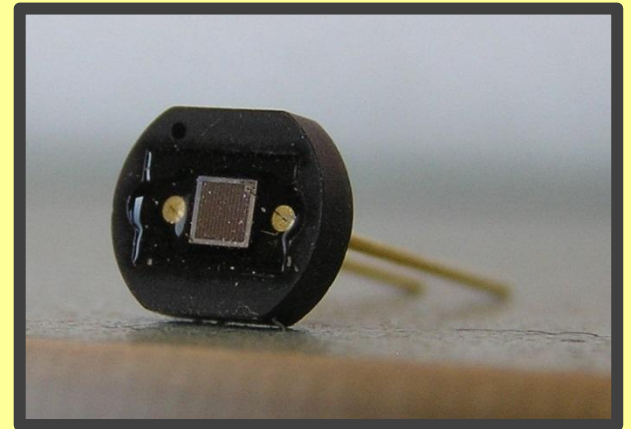
Trigger:  $\Sigma E(\text{PMT}) > 0.7\text{MeV} \Rightarrow$  Read 2600 wave forms (125MHz), look for correlated pairs offline.

Fuel contribution to  $\nu$  flux at beginning and end of campaign

235U	63.7%	44.7%
239Pu	26.6%	38.9%
238U	6.8%	7.5%
241Pu	2.8%	8.5%

# DANSS: система кремниевых ФЭУ

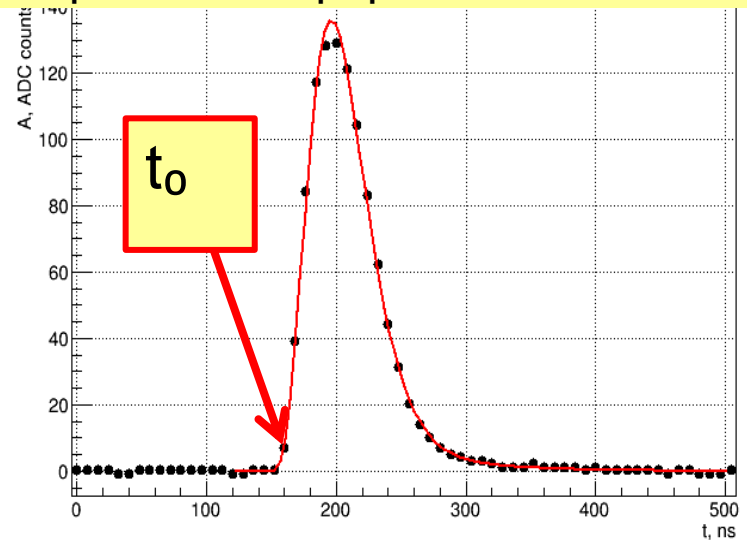
- ❑ SiPm важны для уточнения пространственной и энергетической структуры события, отобранного по суммарному отклику обычных фотоумножителей
- ❑ DANSS использует MPPC S12825-050C производства HAMAMATSU:
  - чувствительная зона  $1.3 \text{ mm}^2$ ;
  - 667 ячеек (пикселей)  $50 \times 50 \mu\text{m}^2$ ;
  - усиление  $\sim 10^6$ ;
  - эффективность к зелёному свету  $\sim 35\%$ ;
- Преимущества:
  - разрешение на уровне 1 фотоэлектрона;
  - малый размер;
  - дешевизна;
- Недостатки:
  - темновой шум с частотой  $\sim 130 \text{ kHz}$  на уровне 50 кэВ, имитирующий сигналы мягких фотонов;
  - наличие оптической связи между соседними пикселями;
  - температурная зависимость параметров;
  - нелинейность отклика при больших числах сработавших пикселей;



# Обработка сигнала кремниевых ФЭУ

Записываемый отклик SiPm: временная форма сигнала, оцифрованного с шагом 8 ns во временном окне 520 ns, которое формируется после получения триггера на данное событие

Временная форма сигнала SiPm



Последующий анализ позволяет:

- событийно компенсировать остаточное смещение базовой линии ( $\pm 1$  канал АЦП);
- вычленив сигналный импульс по времени прихода сигнала  $t_0$  и устранить возможные паразитные импульсы, попавшие во временное окно;
- сгладить флуктуации пьедестала путём аппроксимации сигналного импульса и получить уточнённые значения интегральной величины сигнала  $I$  и времени его прихода  $t_0$ ;

# Шумовые спектры кремниевых ФЭУ

Связь между свойствами пиков:

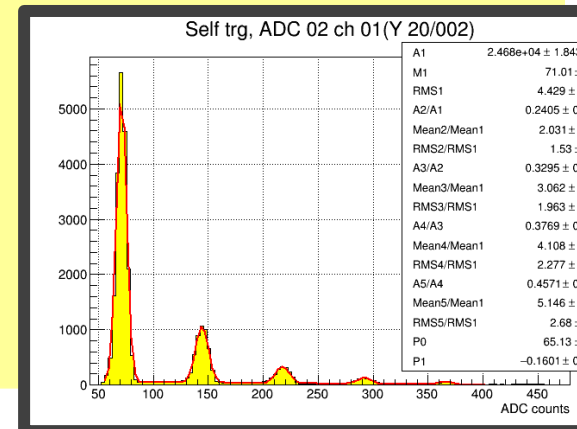
- Интегралы(статистика в пике):  $I_{N+1} = I_N * \frac{P_{N+1}}{P_N}$
- Позиция(максимум):  $x_N = N * x_1, x_{N+1} = x_N * (1+1/N)$
- Среднее число сработавших пикселей:  $\langle N \rangle = 1 + \epsilon + \epsilon^2 * 3(n-1)/2n + o(\epsilon^2)$

где N – номер пика,  $P_N$  – вероятность срабатывания N пикселей,  $\epsilon$  - общая вероятность срабатывания больше чем одного пикселя в SiPm, n – эффективное число соседей первого сработавшего пикселя[L. Gallego, J. Rosado, F. Blanco and F. Arqueros].

Таким образом, шумовые спектры SiPm позволяют определить все параметры, необходимые для калибровки отклика SiPm в линейной области низких амплитуд:

- коэффициент усиления SiPm в форме коэффициента пересчёта каналов АЦП в число сработавших пикселей;
- вероятность оптического перекрёстного срабатывания других пикселей SiPm и производный параметр в форме среднего количества темновых гейгеровских разрядов, что эквивалентно среднему количеству пикселей, срабатывающих от одного фотоэлектрона;

С целью калибровки SiPm и непрерывного мониторинга калибровочных параметров параллельно с набором физических данных ведётся запись шумовых событий, используя автотриггер с низким порогом (прореживание 50000). Набираемой статистики достаточно, чтобы производить независимую индивидуальную калибровку SiPm каждые двадцать минут.

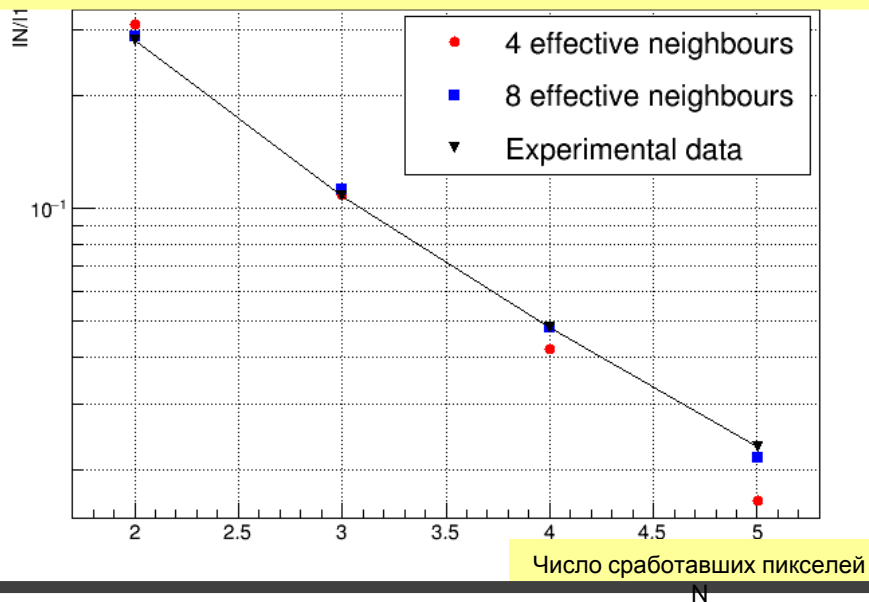




# Проверка математической модели

Математические модели оптической связи между пикселями [L. Gallego, J. Rosado, F. Blanco and F. Arqueros] базируются на допущении, что первый сработавший пиксель может спровоцировать лавины в конечном числе соседних ячеек. Этот параметр  $n$ , называемый эффективным числом соседей, входит в формулу для вычисления среднего числа пикселей, срабатывающих от одного фотоэлектрона. Величину  $n$  можно оценить по соотношению статистики в пиках с разным  $N$

Среднее отношение чисел событий в пиках  $\langle I_N / I_1 \rangle$

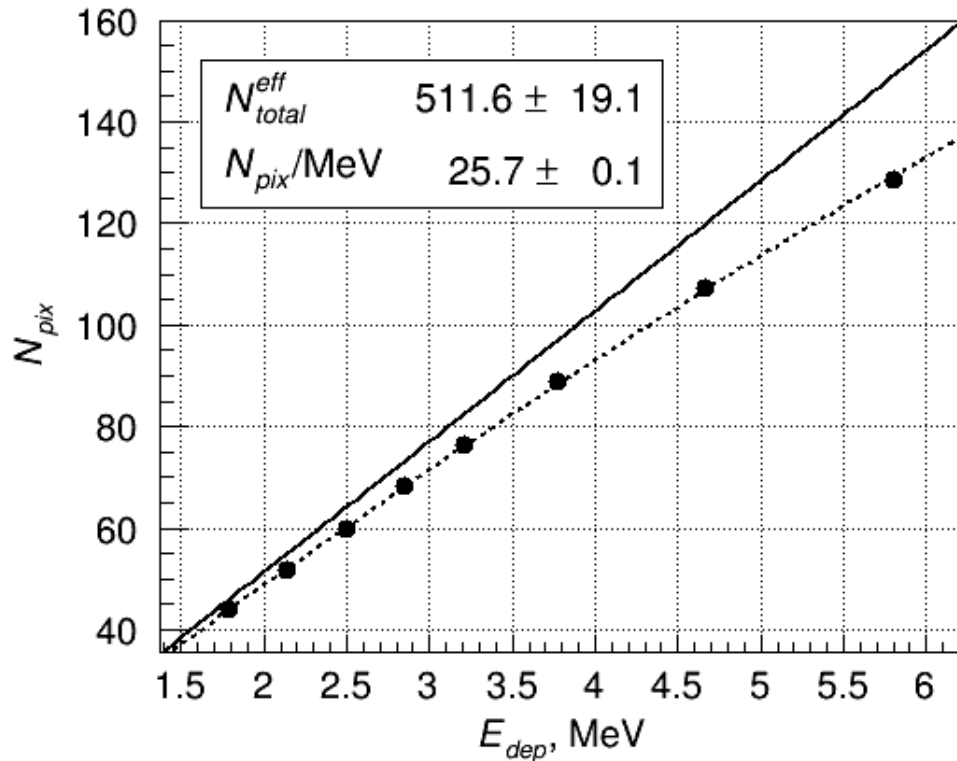


$N$	2	3	4	5
$I_N / I_1, n=4$	0.310	0.108	0.042	0.016
$I_N / I_1, n=8$	0.288	0.112	0.048	0.022
$I_N / I_1, \text{Экс}$	0.281	0.108	0.049	0.023

Экспериментальные данные: 4 часа набора в стабильных температурных условиях (118М шумовых триггеров). Используемые в DANSS SiPm хорошо описываются моделью с  $n=8$ .

# Determination of effective number of pixels

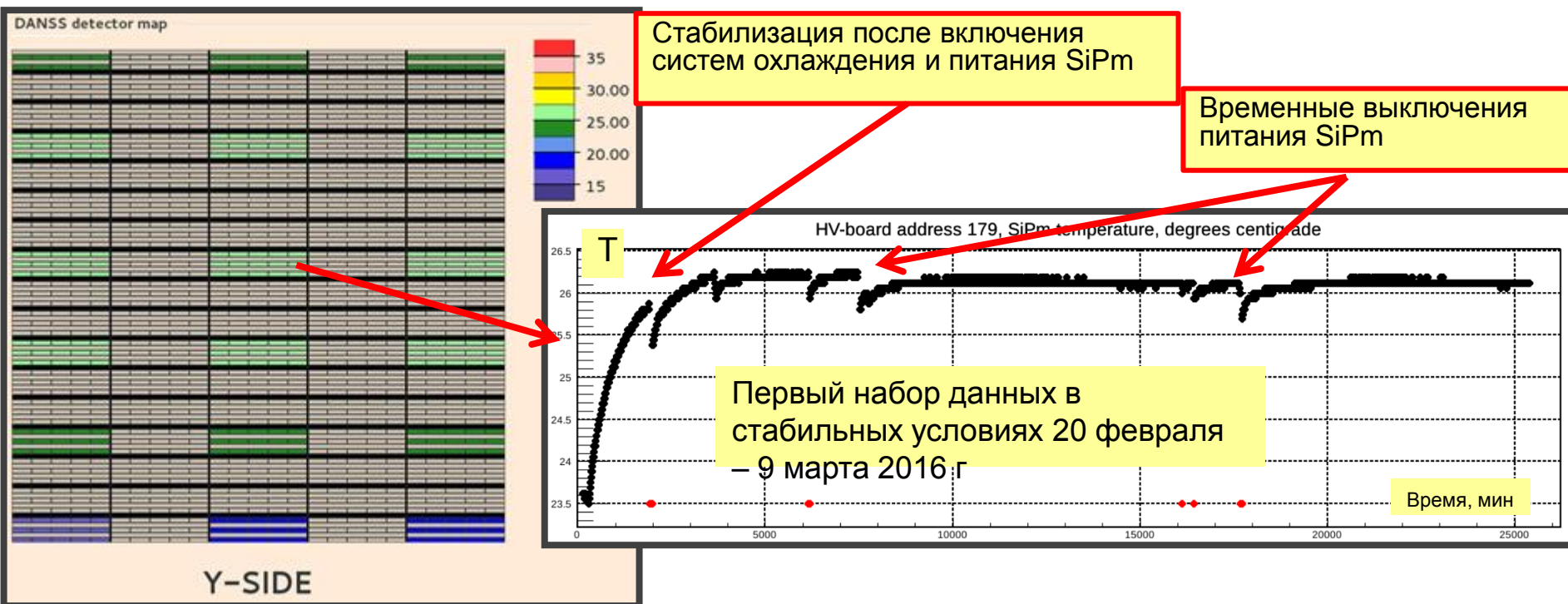
WLS Fiber illuminates SiPM non-uniformly  
Effective number of pixels is determined from response nonlinearity



$$N_{eff} = 512 \pm 19$$

$$N_{tot} = 667$$

# DANSS: температура детектора

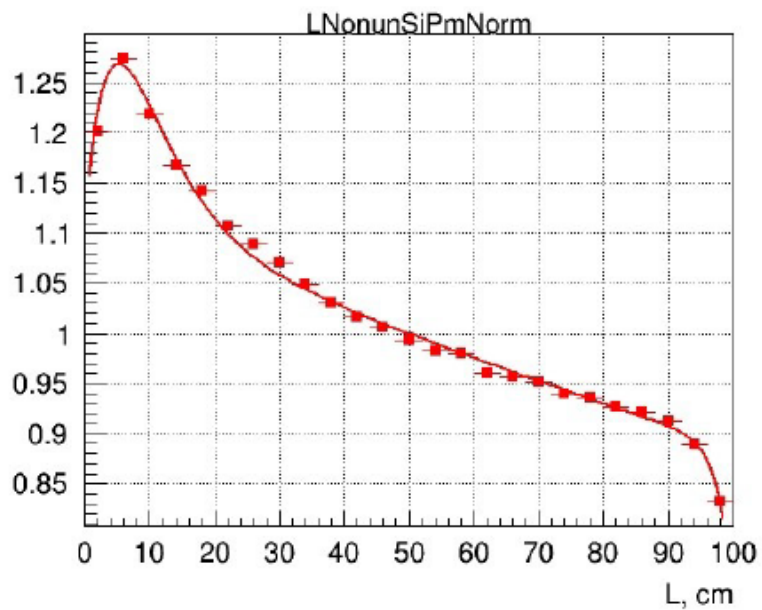


Температура непрерывно контролируется при помощи 36 датчиков, равномерно распределённых по поверхностям обеих считываемых сторон куба в непосредственной близости от SiPm.

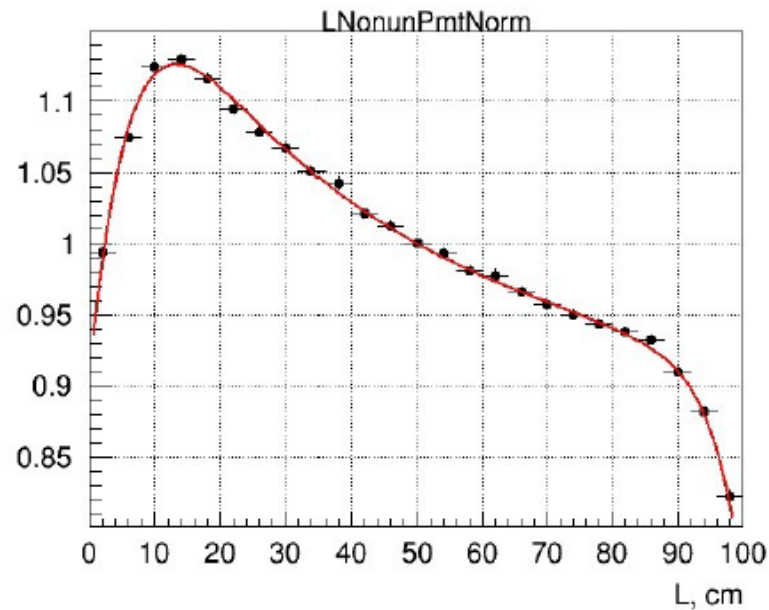
При измеренной температурной стабильности статистики набираемых калибровочных данных заведомо достаточно для отслеживания и компенсации влияния возможных температурных эффектов.

# Light yield profiles

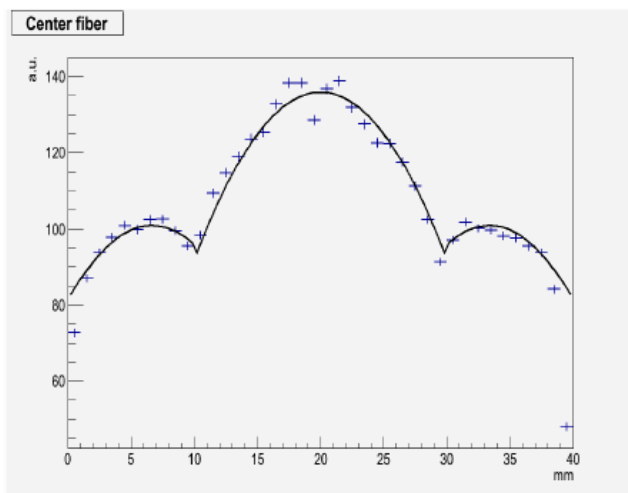
## SiPM



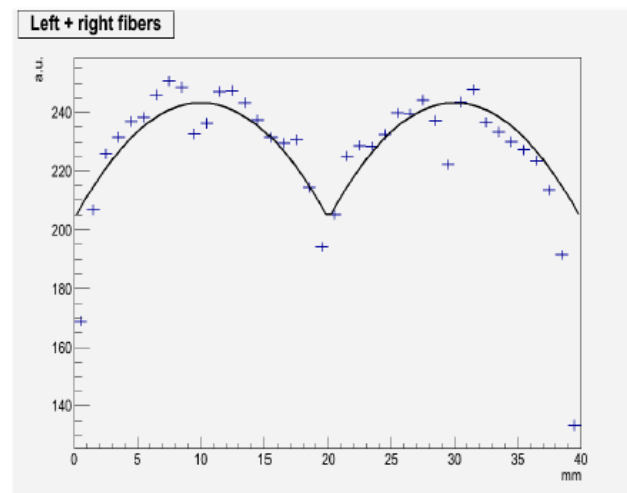
## PMT



## SiPM



## PMT



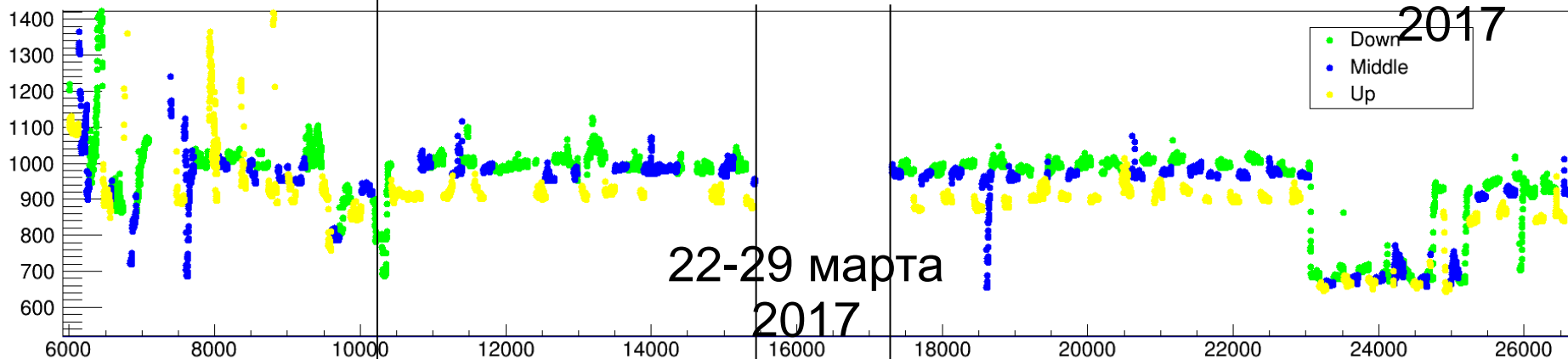
# Стабильность

октября 2016 января 2017

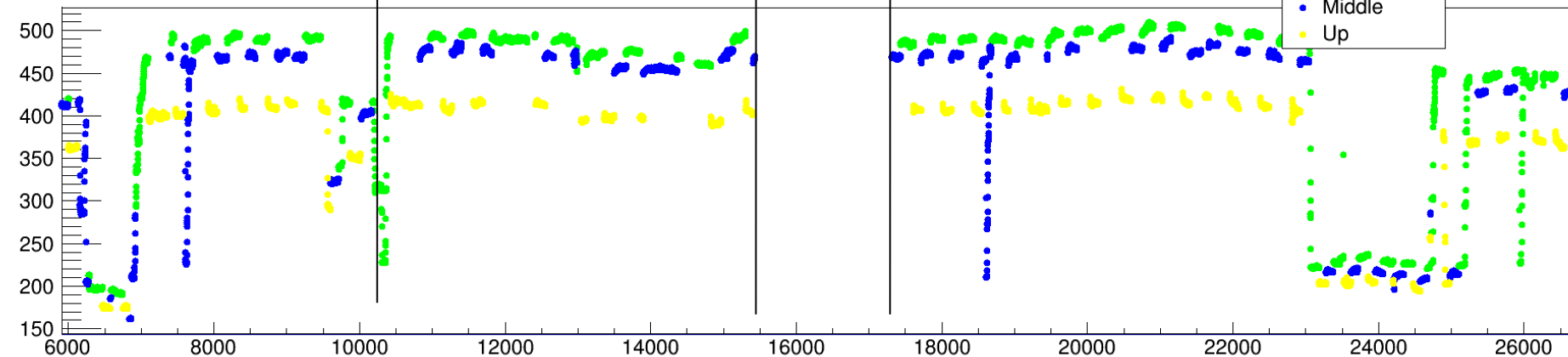
Trigger frequency, Hz

22 сентября

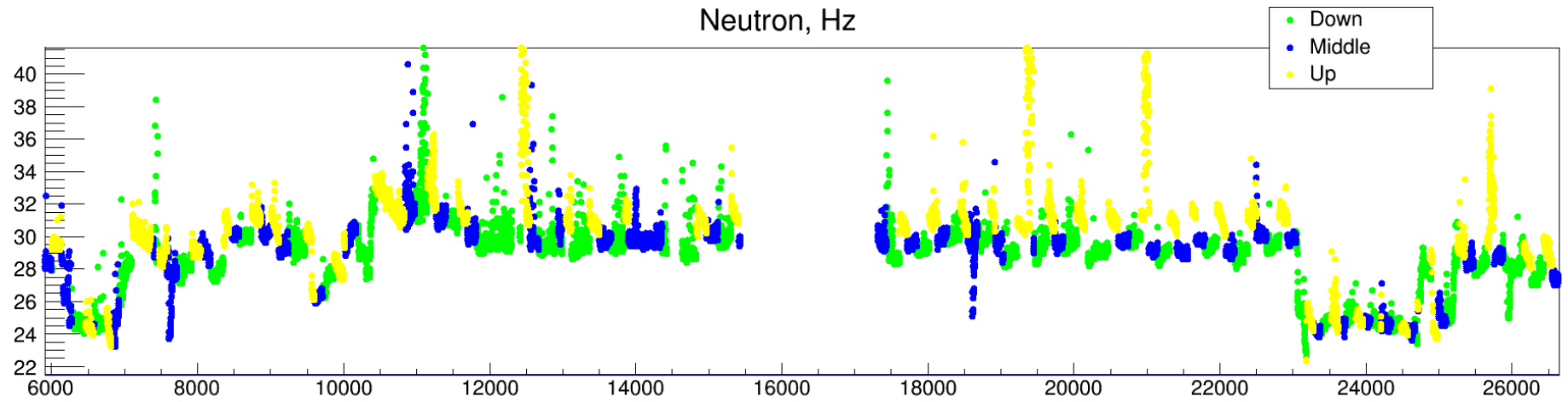
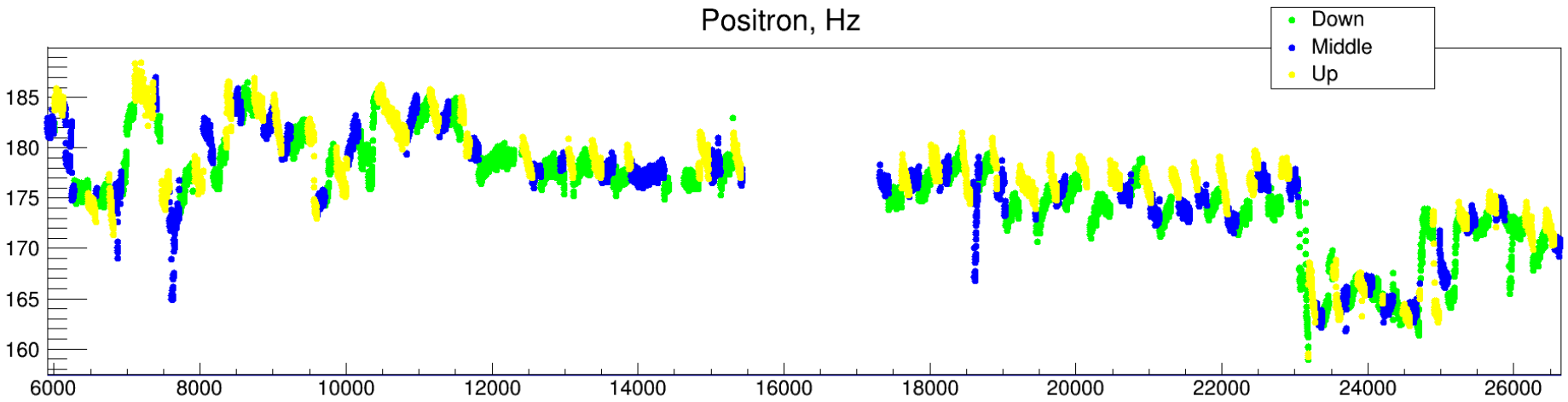
2017



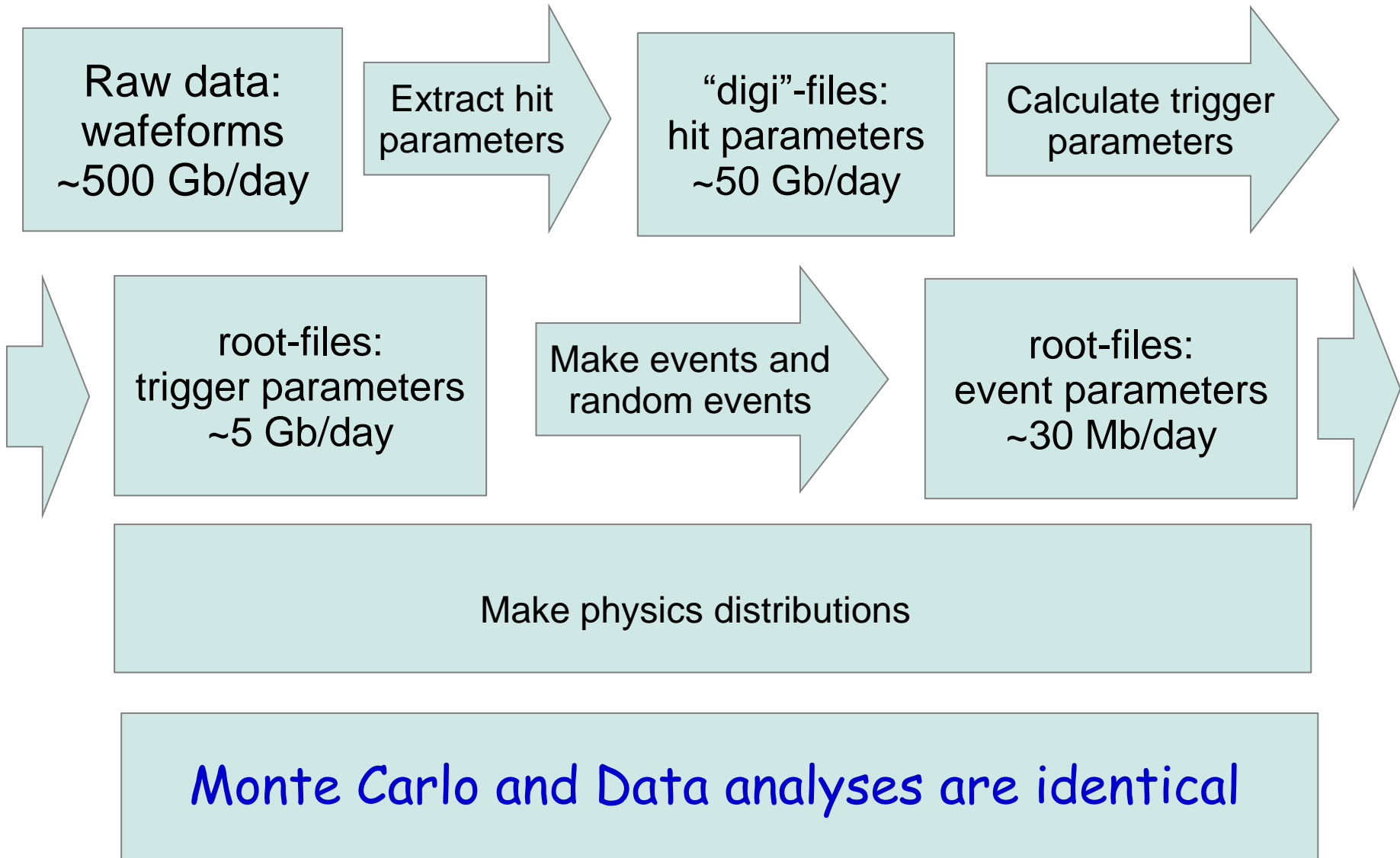
Veto frequency, Hz



# Стабильность



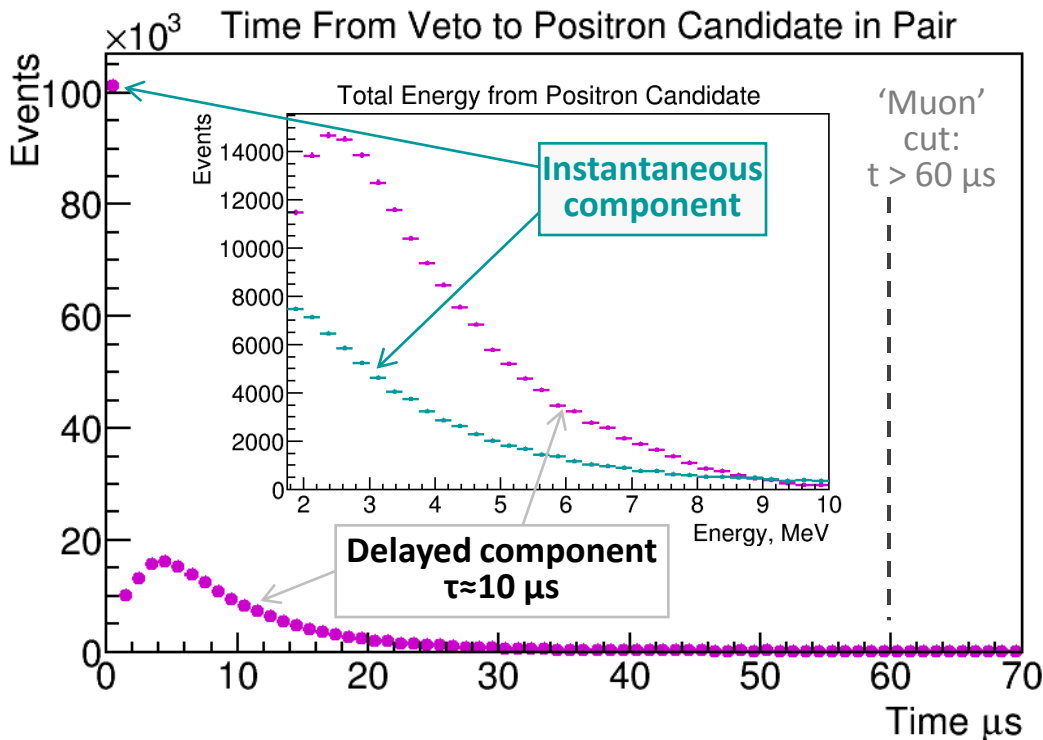
# Data analysis



# Event building and muon cuts

## Building Pairs

- Positron candidate: 1-20 MeV in continuous ionization cluster
- Neutron candidate: 3.5-15 MeV total energy (PMT+SiPM), SiPM multiplicity >3
- Search positron 50  $\mu$ s backwards from neutron

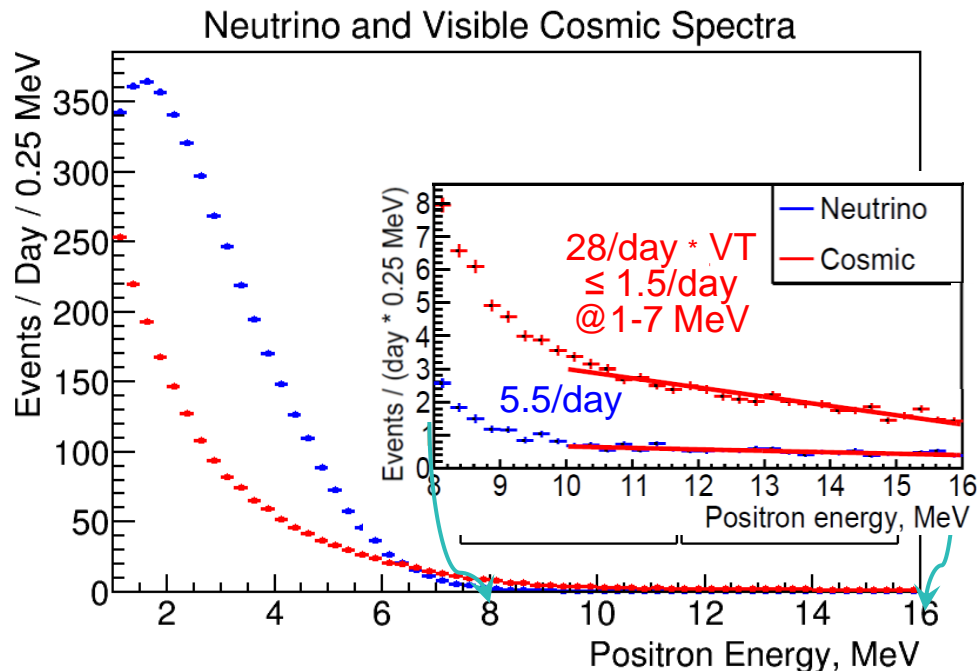


## Muon Cuts

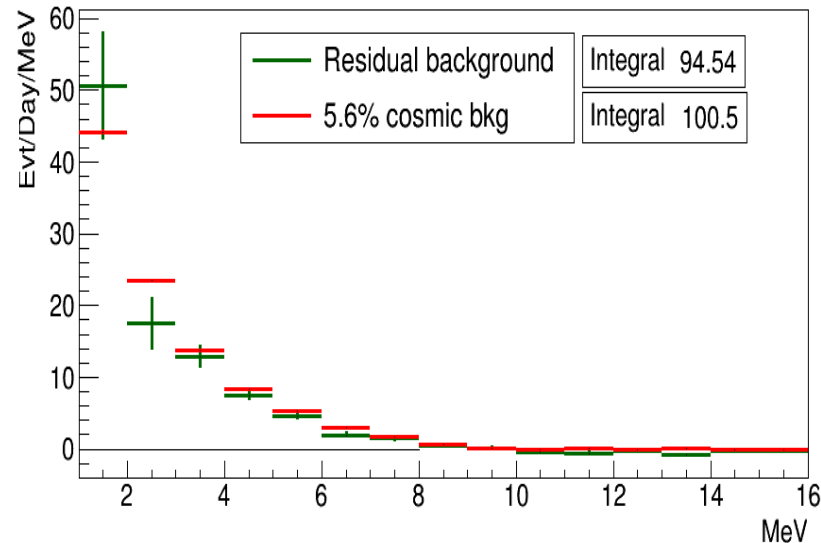
- VETO 'OR':
  - 2 hits in veto counters
  - veto energy >4MeV
  - energy in strips >20 MeV
- Two distinct components of muon induced paired events with different spectra:
  - 'Instantaneous' – fast neutron
  - 'Delayed' – two neutrons from excited nucleus
- 'Muon' cut : NO VETO 60  $\mu$ s before positron
- 'Isolation' cut : NO any triggers 45  $\mu$ s before and 80  $\mu$ s after positron (except neutron)
- 'Showering' cut : NO VETO with energy in strips >300 MeV 200  $\mu$ s before positron



# Residual background subtraction

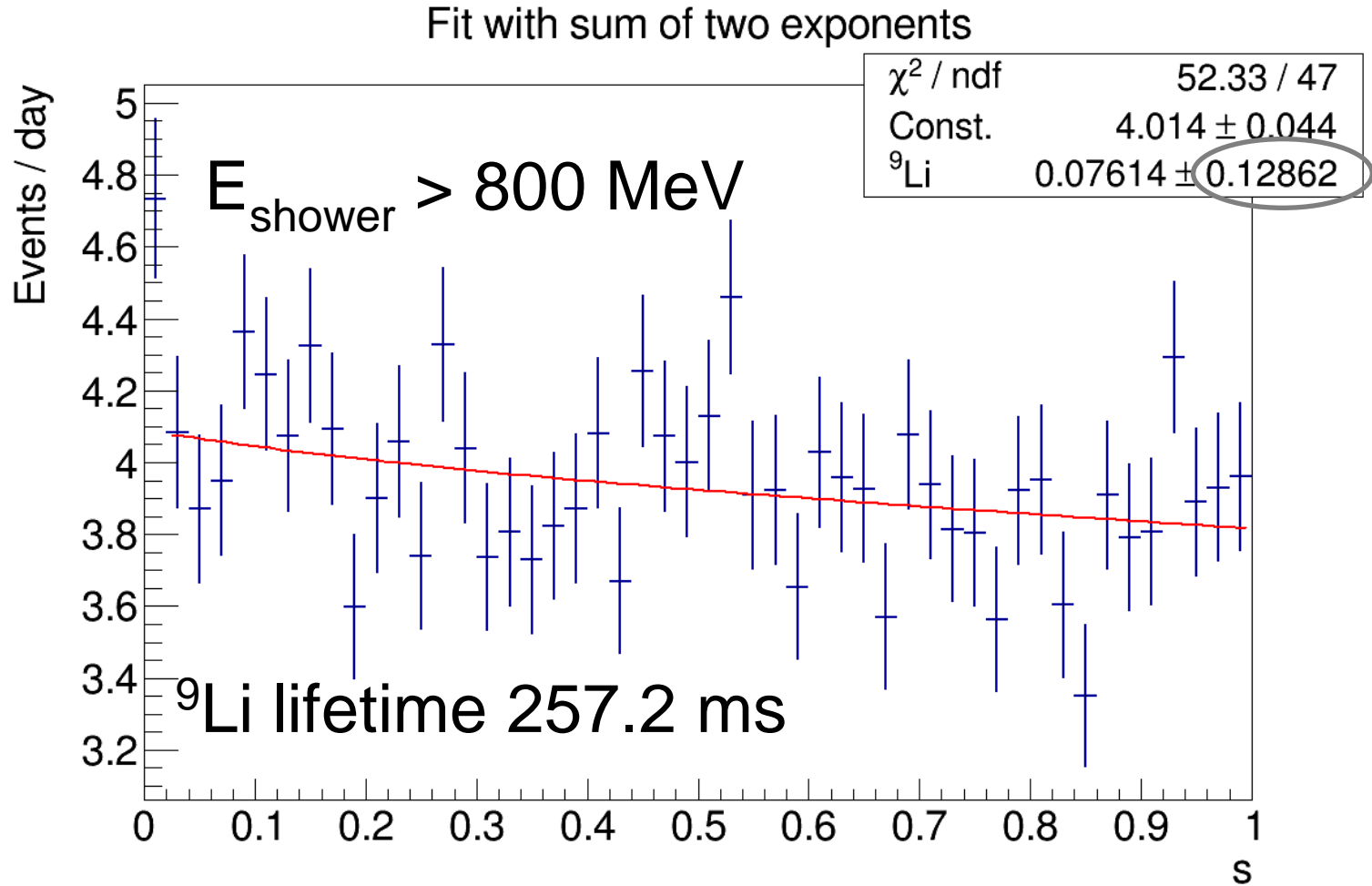


## Reactor OFF Background Spectrum and old Fit of Cosmic Fraction



- **Fast neutron tails: linearly extrapolate from high energy region and subtract separately from positron and visible (i.e. rejected by VETO) cosmic spectra**
- Subtract fraction of visible cosmics based on VETO inefficiency
- **Amount of visible (rejected by VETO) cosmics <50% of neutrino signal**
- **VETO inefficiency :**
  - 2.5% from muon count in sensitive volume, missed by VETO - underestimate
  - 5.6% from 'reactor OFF' spectra.
- **Not vetoed cosmic background fraction is 2.8% of neutrino signal, subtracted**
- **Final neutrino spectrum (Ee+ + 1.8 MeV) has No background!**

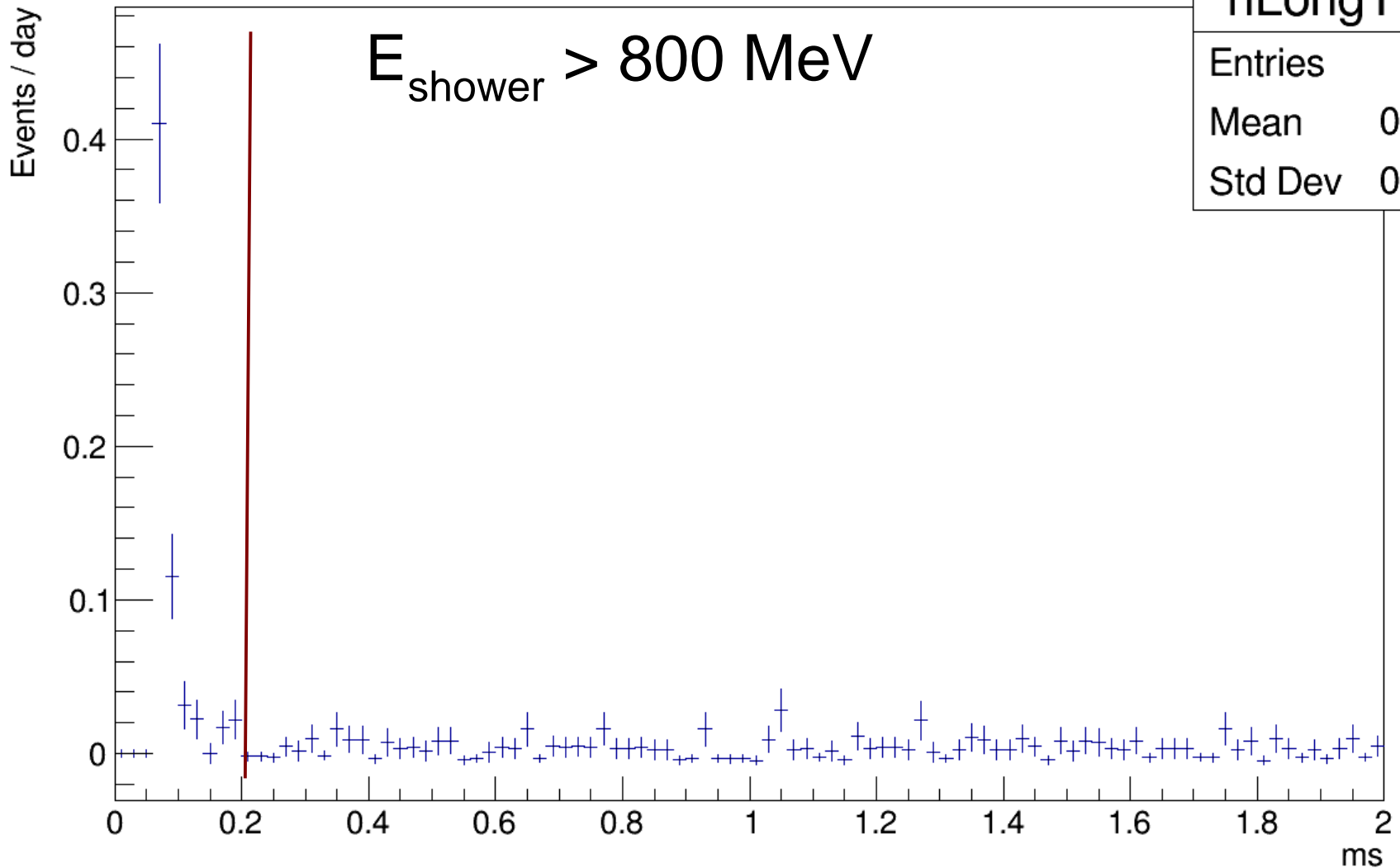
# ${}^9\text{Li}$ and ${}^8\text{He}$ background consistent with 0



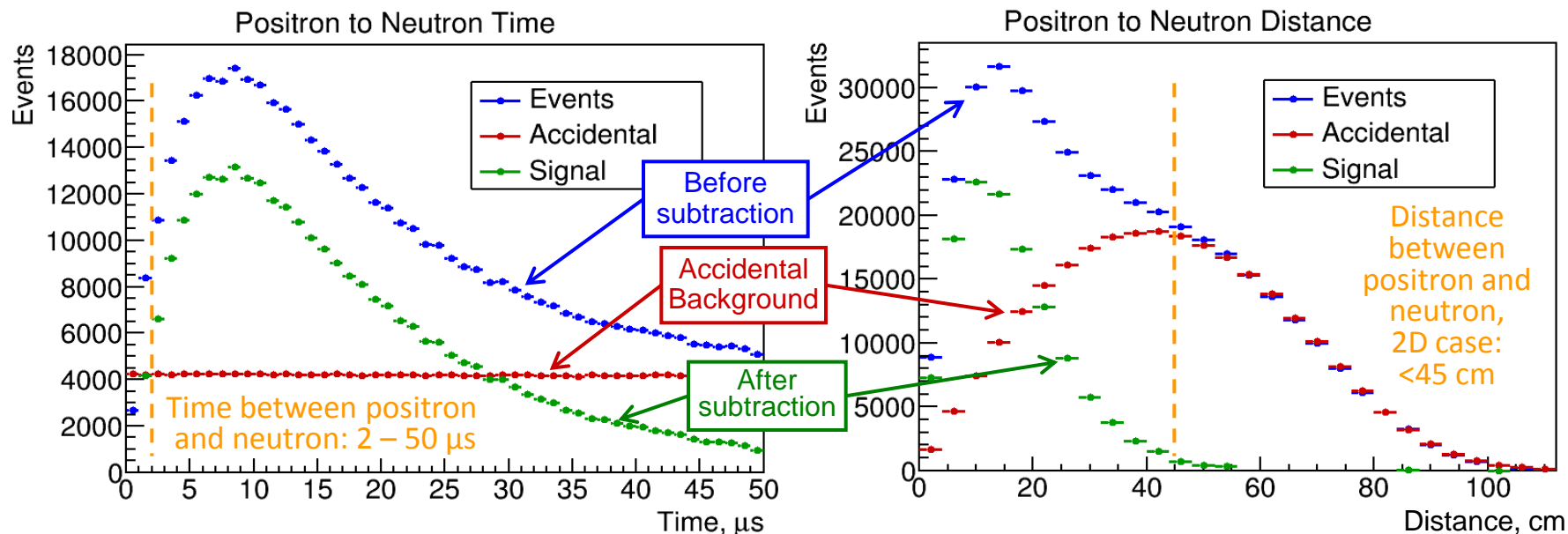
90% CL upper limit = 3 events/day

# Time from showering event

$E_{\text{shower}} > 800 \text{ MeV}$

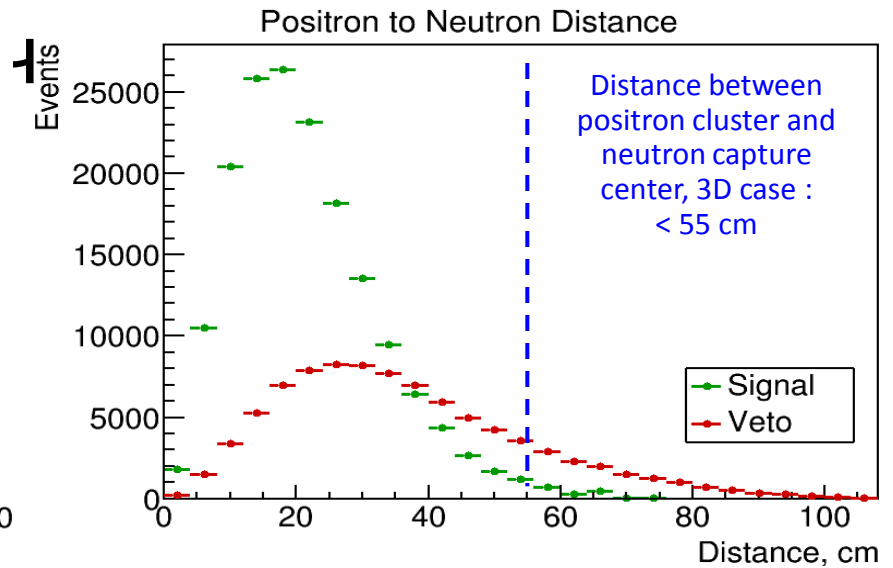
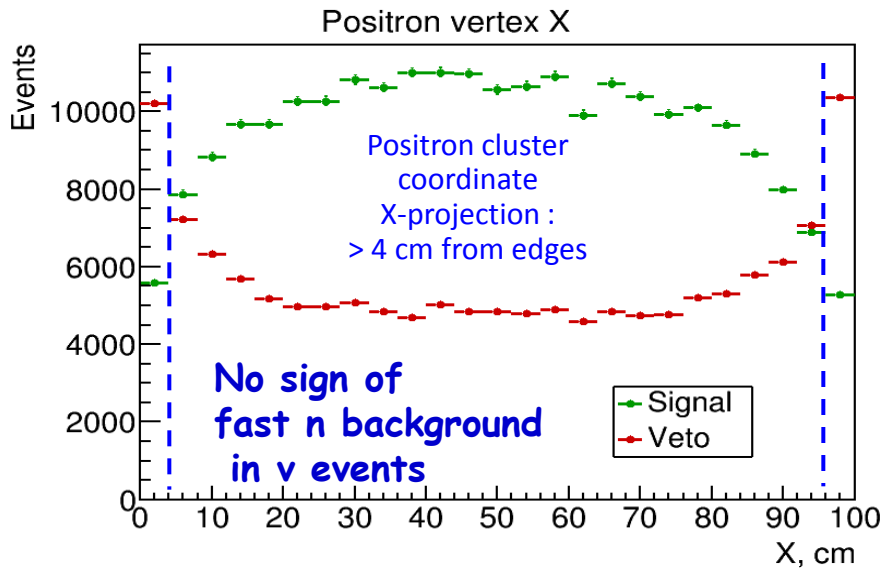


# Accidental coincidence background

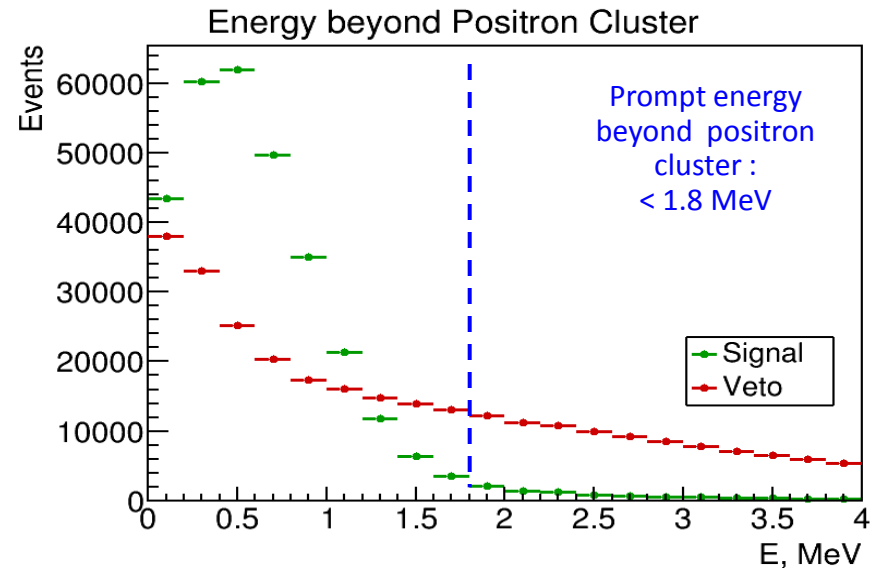


- Fake one of the IBD products by uncorrelated triggers
- Background events from data: search for a positron candidate where it can not be present – 50  $\mu\text{s}$  intervals far away from neutron candidate (5, 10, 15 etc millisecc)
- Enlarge statistics for accidentals by searches in numerous non-overlapping intervals
- Accidental rate is smaller but comparable to IBD rate
- Mathematically strict procedure, does not increase statistical error
- Cuts for the accidental coincidence exactly the same as for physics events
- Optimization of cuts to reduce accidental contribution => smaller statistical error

# Additional cuts using fine segmentation

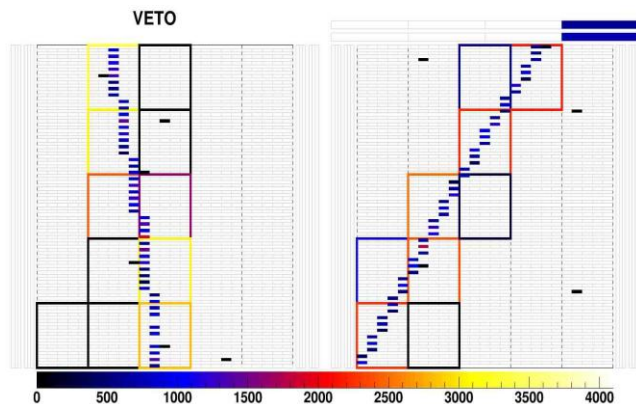


- Comparison of the distributions for the events which passed the muon cut with similar for those accompanied by muons
- Positron cluster position: 4 cm from all edges
- Vertical projection of the distance: <40 cm
- Multiplicity beyond positron cluster: <11
- Totally 8 cuts of this kind
- Reject cosmic background >3 times, but only 15% of the events

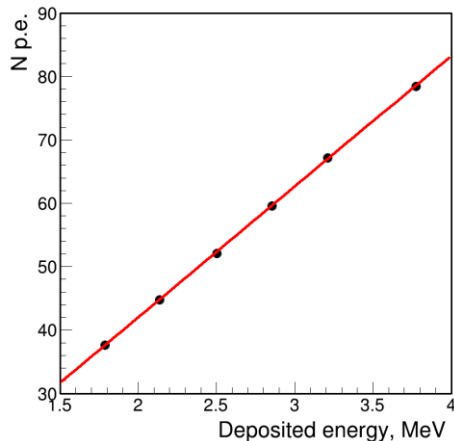


# Calibration

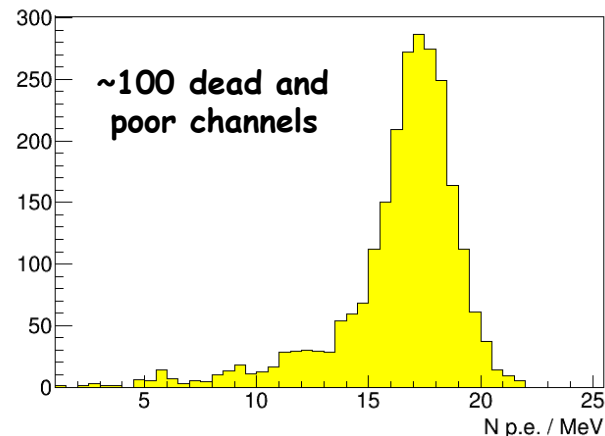
With cosmic muons



Response is linear with energy

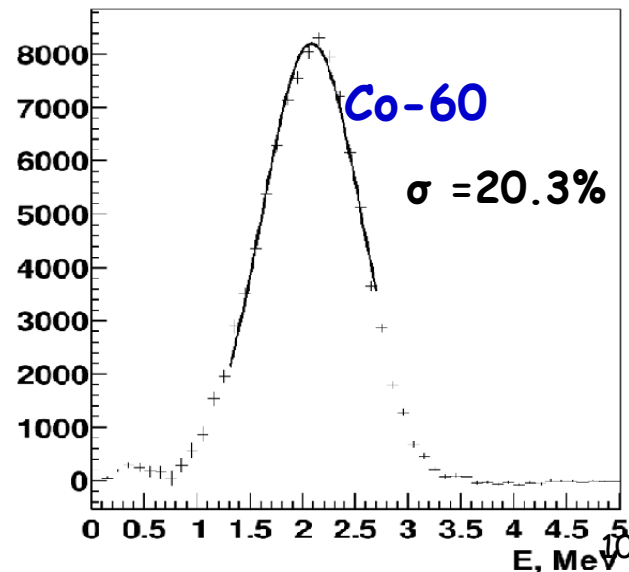
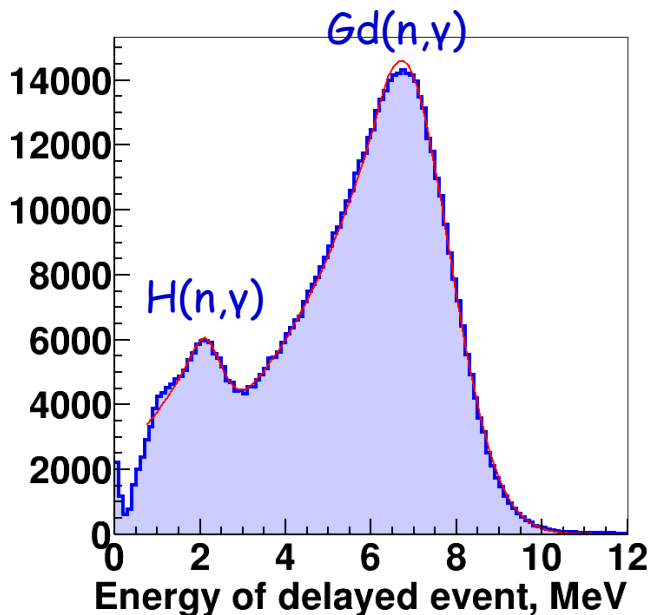
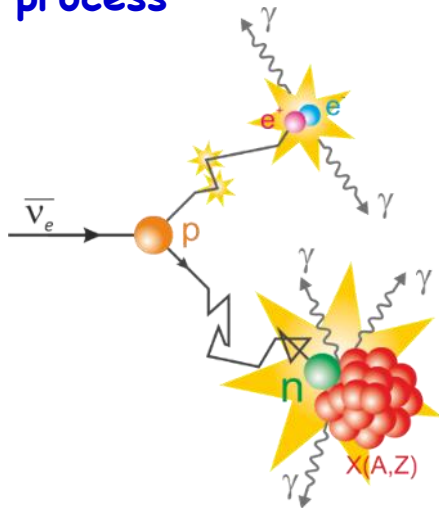


Uniformity of SiPM response before calibration



With radioactive sources.  $^{248}\text{Cm}$  n source is similar to IBD process

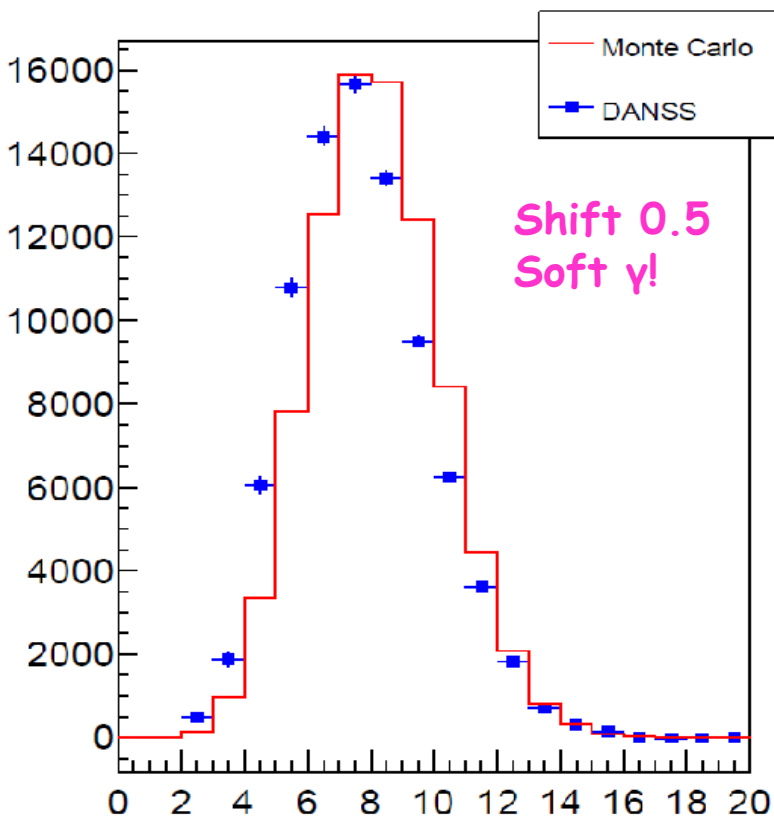
Inverse Beta Decay (IBD) process



# MC simulation (GEANT4 V4.10.4) of DANSS is very detailed

Longitudinal and transverse profiles of light collection  
Losses in dead material and dead channels  
Fluctuations in number of p.e. at first 2 dinodes  
Excess noise factor for SiPMs due to X-talk  
Measured cosmic muon angular distribution

Number of hits from  $^{60}\text{Co}$  decay



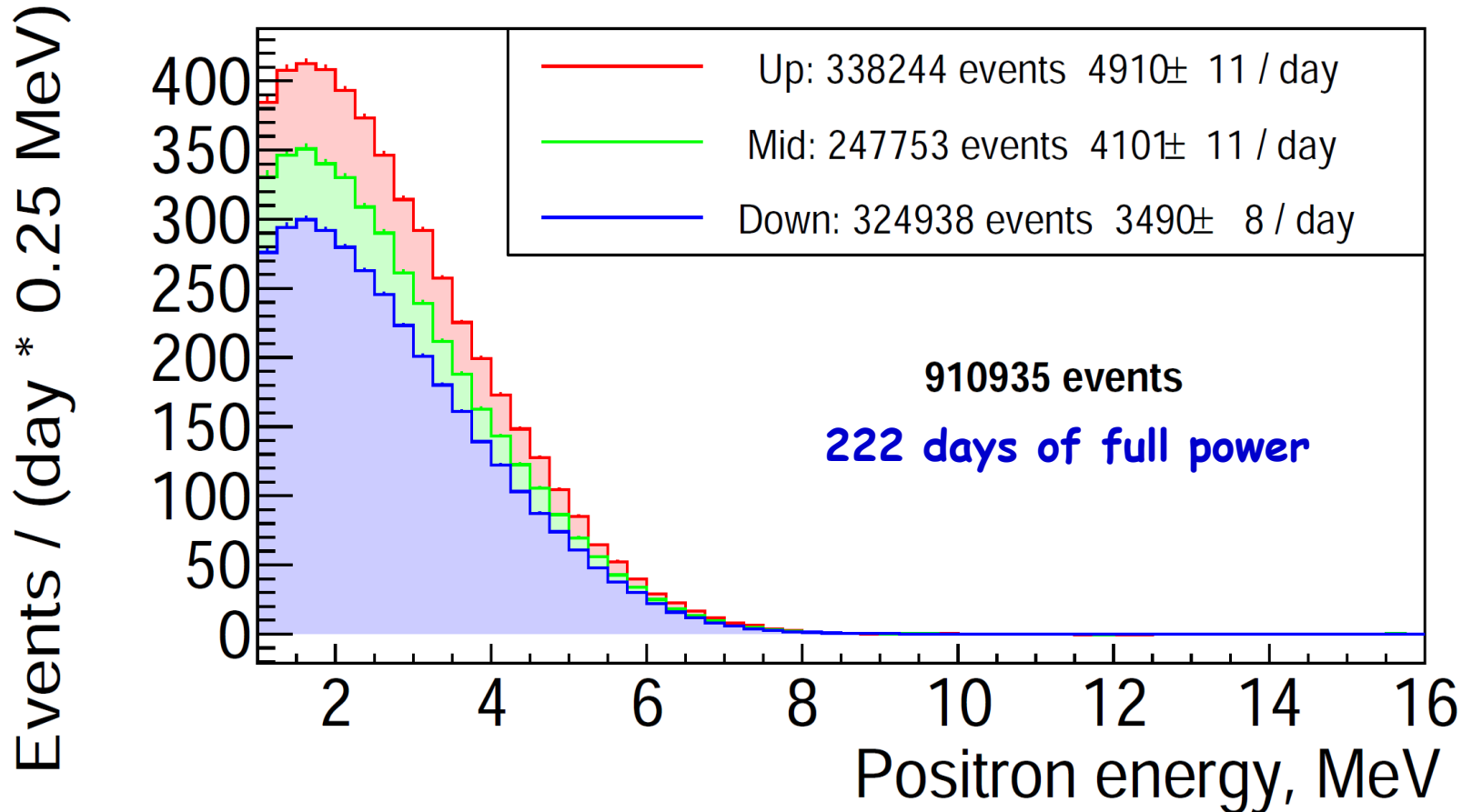
MC reproduces sensitive distributions

However MC underestimates energy resolution by 15%.

MC predictions are scaled up by 15%

# Positron spectrum

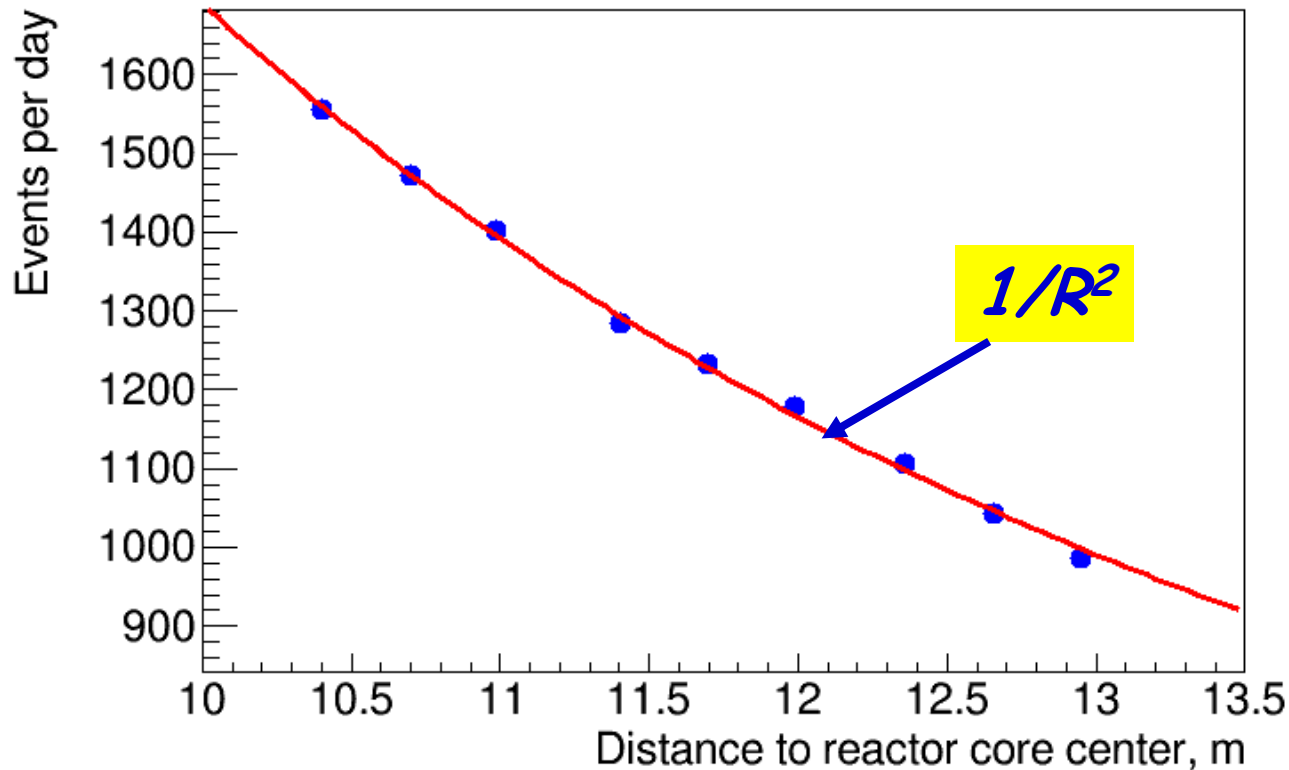
Oct 16-Sep 17



- 3 detector positions
- Pure positron kinetic energy (annihilation photons not included)
- About 5000 neutrino events/day in detector fiducial volume of 78% ('Up' position closest to the reactor)



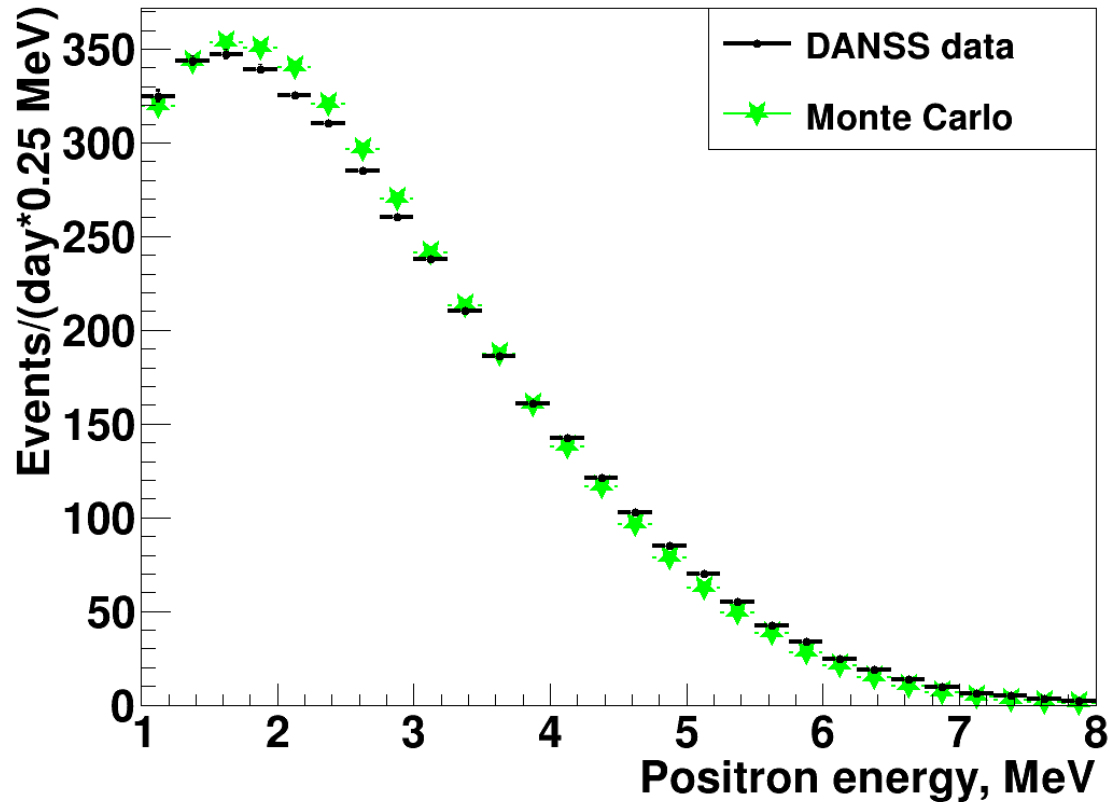
# $\bar{\nu}$ counting rate dependence on distance from reactor core



- 3 detector positions
- Detector divided vertically into 3 sections with individual acceptance normalization

*Rough agreement with  $1/R^2$  dependence*  
*Not used so far in analysis!*

# Positron spectrum (last 4 months of campaign)

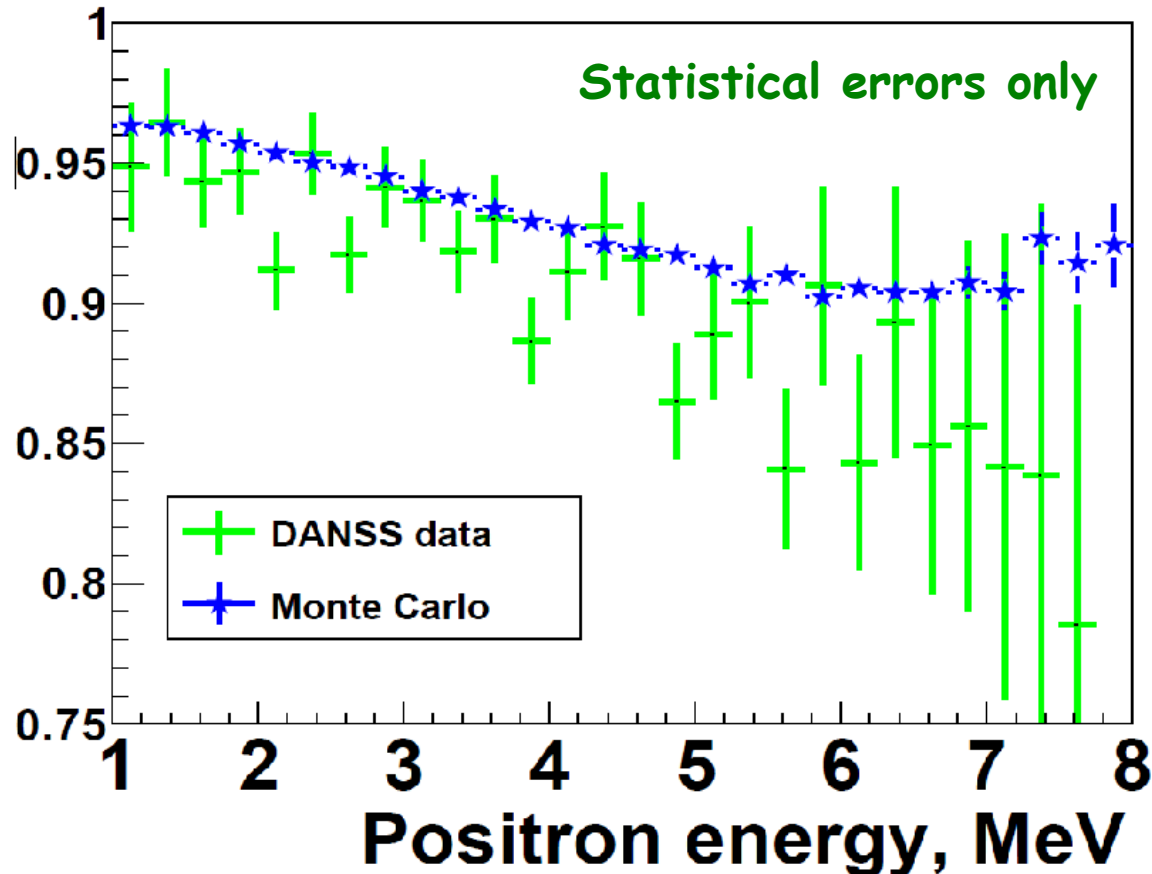


Rough agreement with MC.

(Theoretical neutrino spectrum was taken from Huber and Mueller)

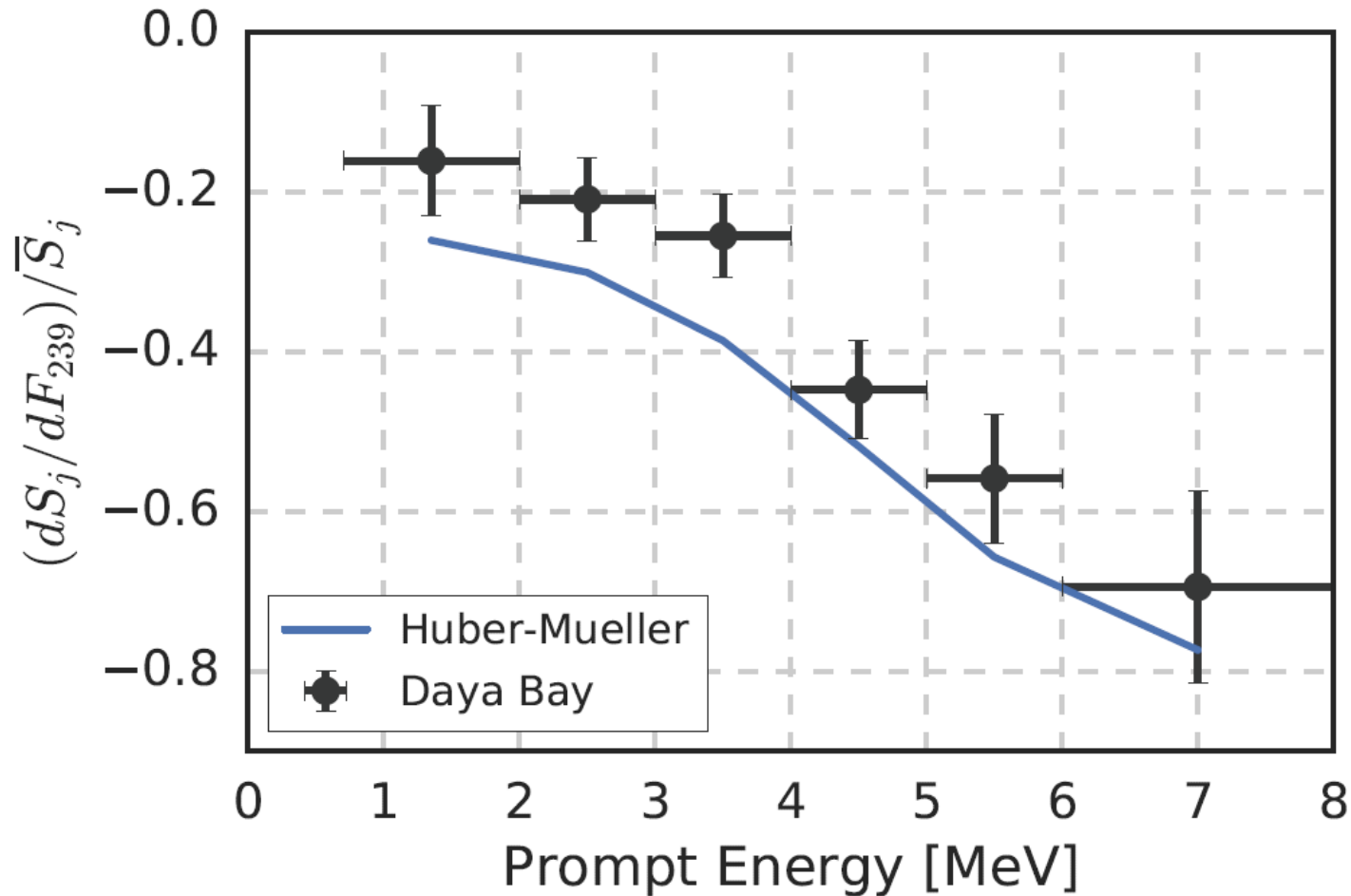
More work on calibration is needed before quantitative comparison

# Ratio of positron spectra at the end and beginning of campaign ( $\Delta^{239}\text{Pu}=11\%$ )



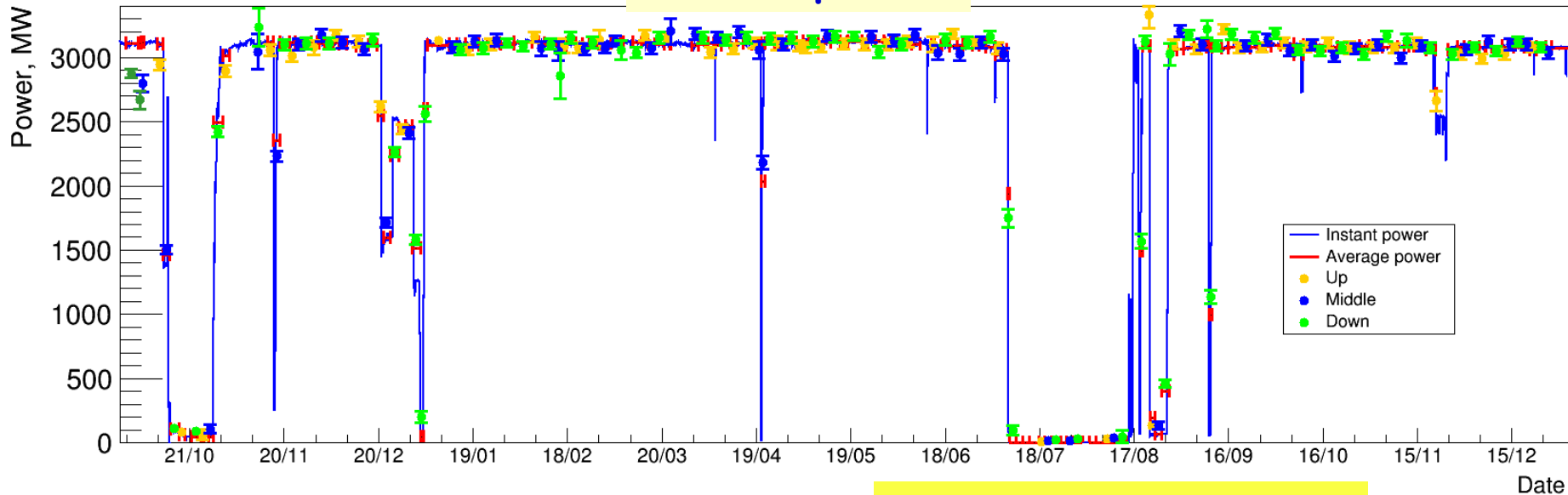
**Clear evidence for spectrum evolution**  
Spectrum evolution is consistent with MC  
contrary to Daya Bay measurements

# Daya Bay measurements of spectrum evolution (smaller than MC predictions for 25-35% $^{239}\text{Pu}$ )

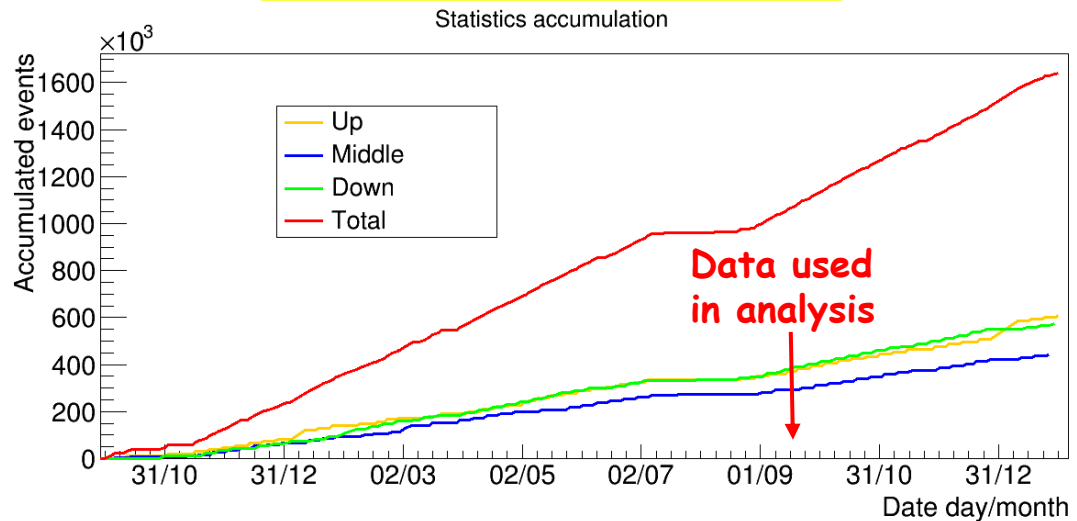


# Comparison of reactor power and DANSS rate

## Reactor power



## Statistics accumulation

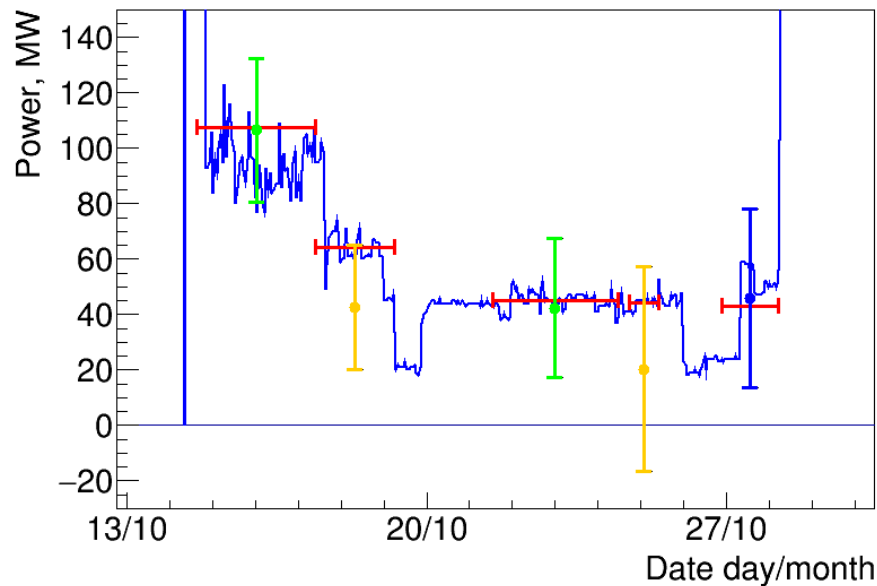


- On power graph:
  - Points at different positions equalized by  $1/r^2$
  - Normalization by 12 points in November-December 2016
  - Adjacent reactor fluxes subtracted (0.6% at Up position)
  - Spectrum dependence on fuel composition is included
- MC underestimates changes a bit
- Statistics @100% power, ~222 days after QA

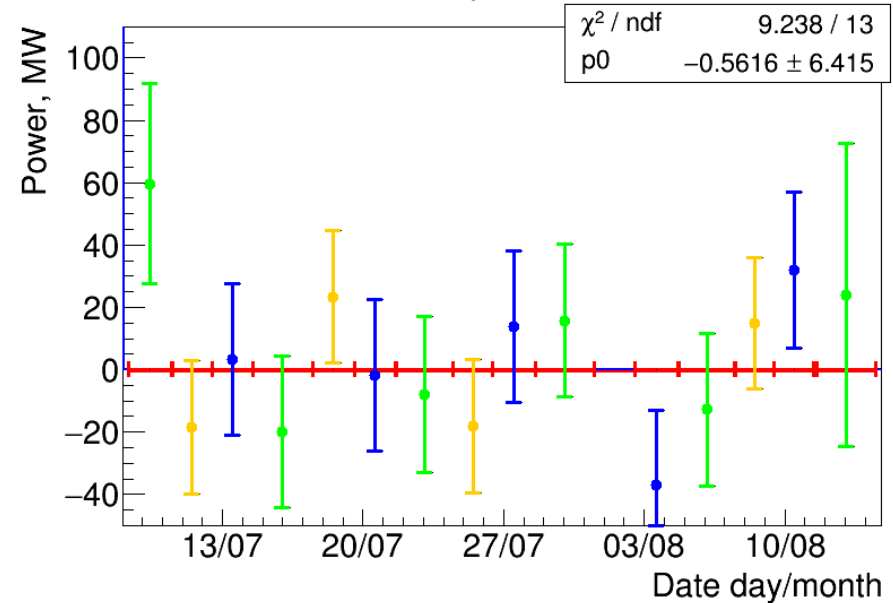
# Comparison of reactor power and DANSS rate

Cosmic VETO system inefficiency (5.6%)  
was determined during the first reactor OFF period

Block 4 power

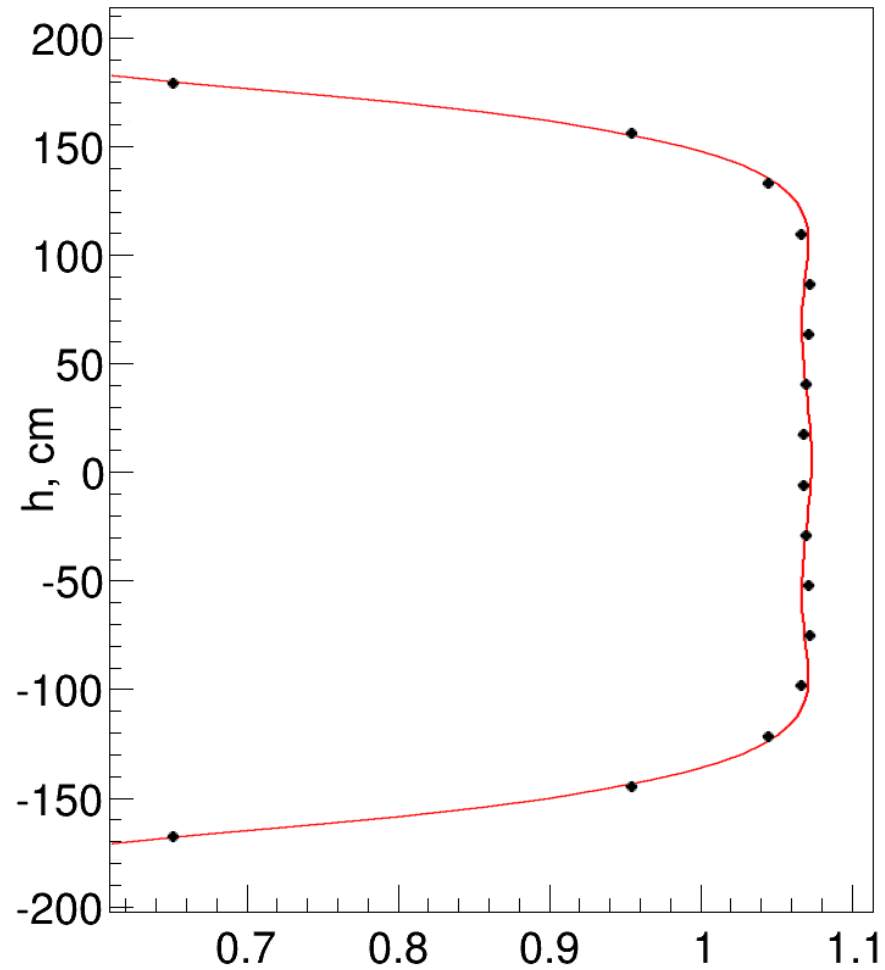


Block 4 power



DANSS counting rate during the second reactor OFF period  
is consistent with zero  
(after ~3% cosmic background and 0.6% adjacent reactor subtraction)

# Reactor core burning profile averaged over campaign



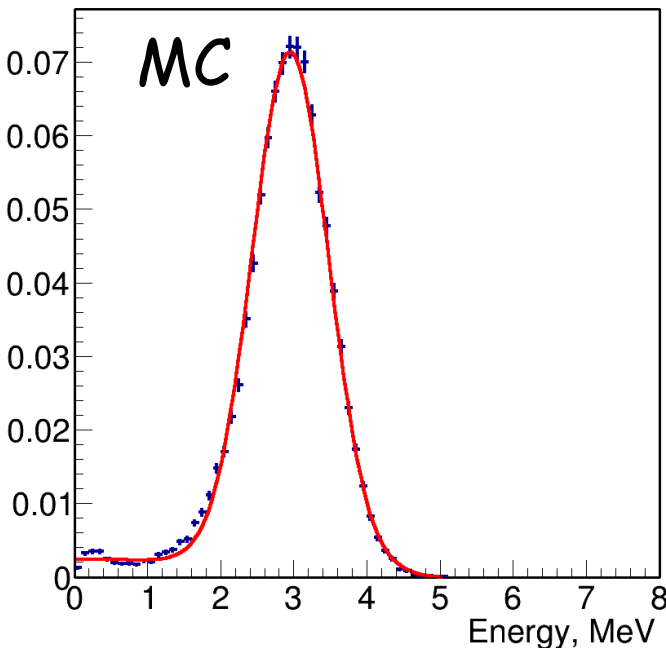
# Data Analysis

For every  $\Delta M^2$  and  $\text{Sin}^2(2\theta)$   $e^+$  spectrum was calculated for Up and Down detector positions taking into account reactor core size and detector energy response including tails (obtained from cosmic muon calibration and GEANT-4 MC simulation identical to data analysis)

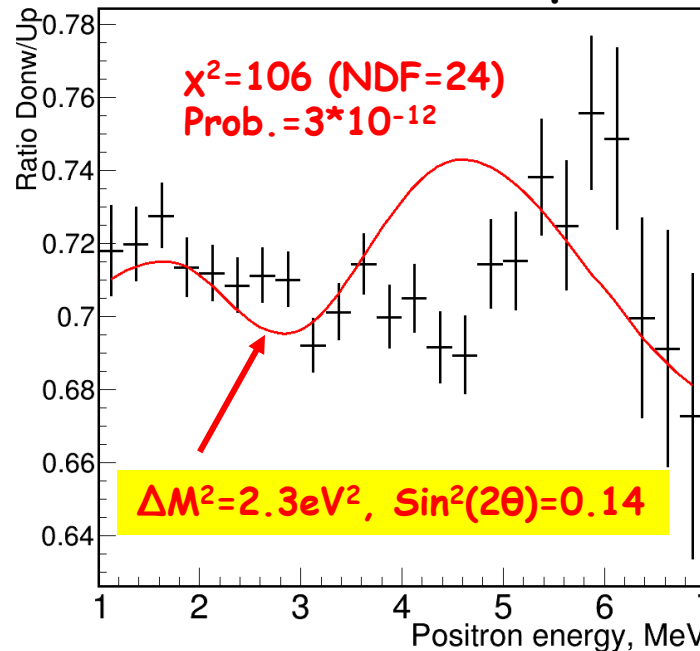
Reactor burning profile was provided by NPP

Ratio of Down/Up spectra was calculated and compared with experiment  
(independent on  $\nu$  spectrum, detector efficiency, and many other problems!)

Response to 3 MeV  $e^+$



Ratio Down/Up



3  $\nu$  hypothesis:  
 $\chi^2=35$   
Prob.=0.064

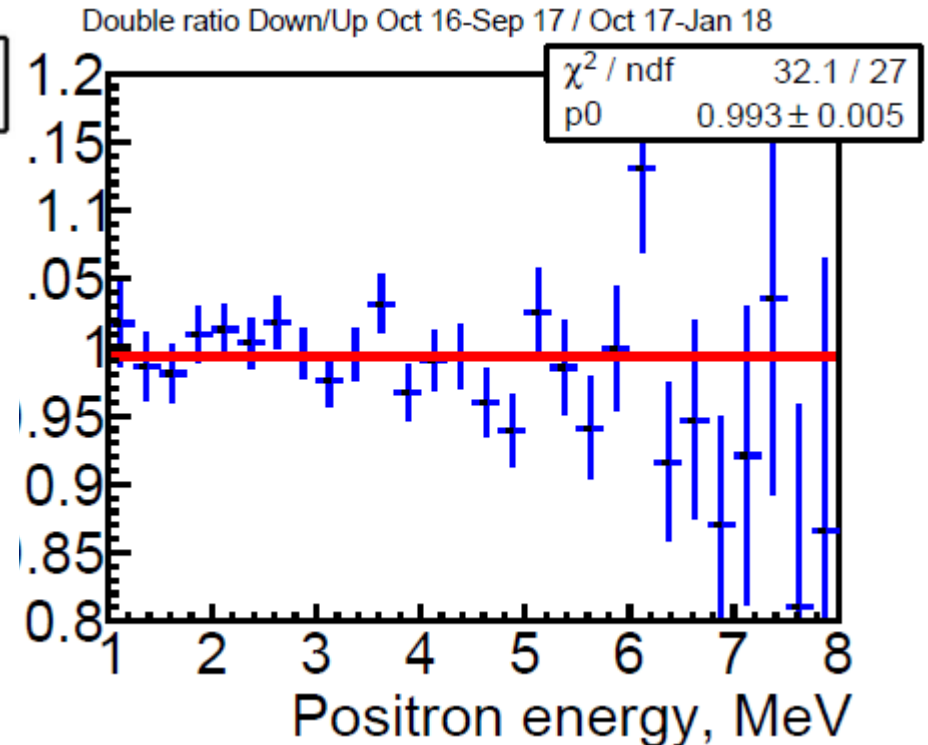
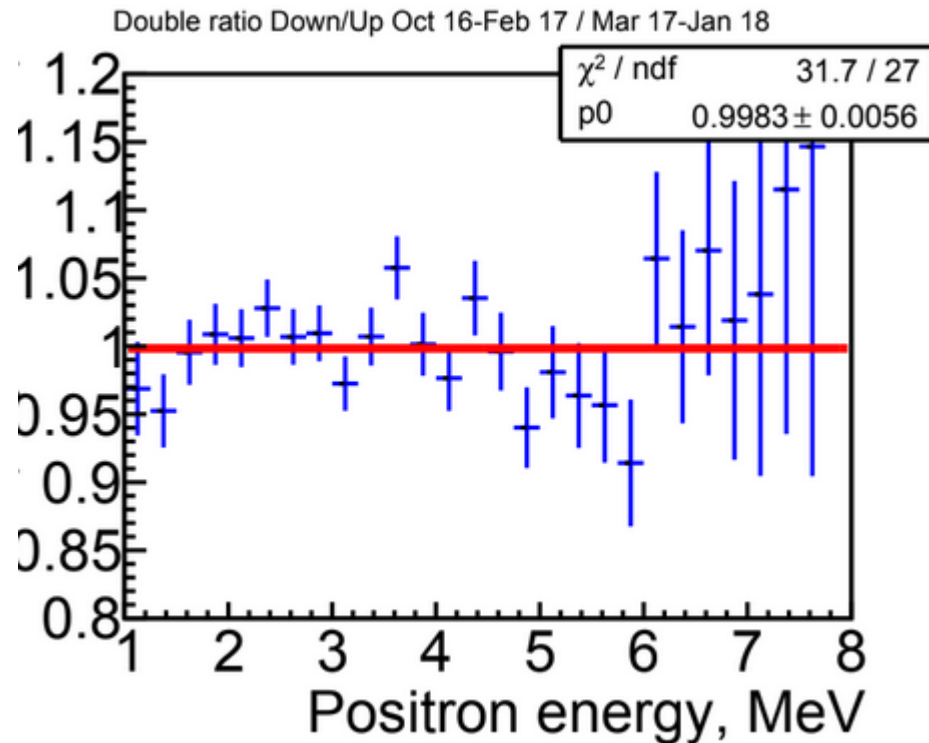
Most plausible  
parameter set  
from Reactor and  
Galium anomalies  
is excluded!



# Stability of results

We checked that results are stable in time and against variations of selection criteria

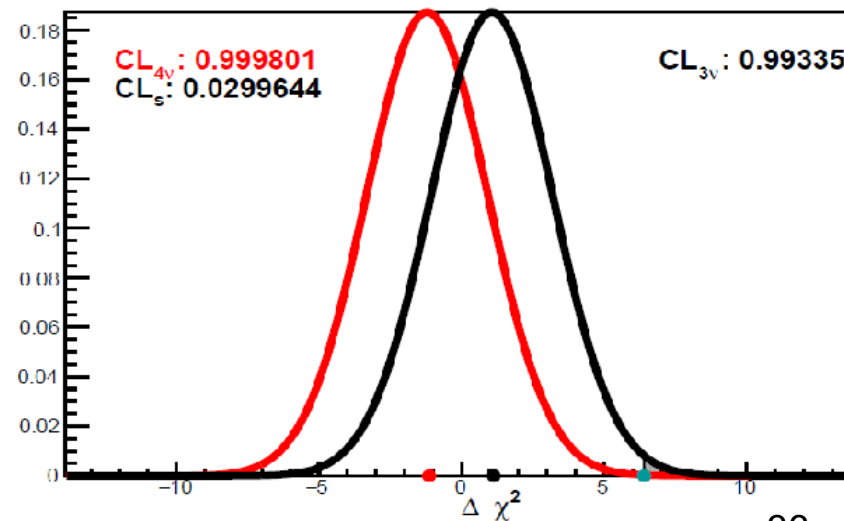
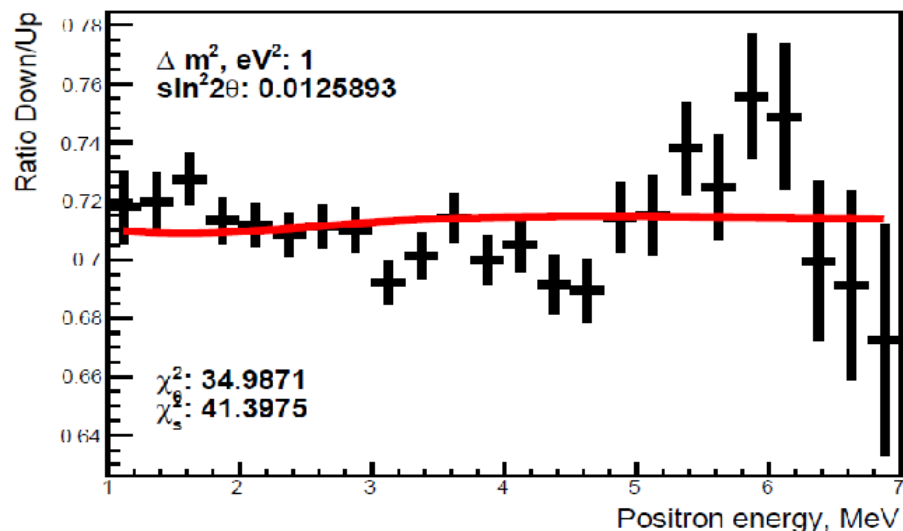
Ratio of bottom/up ratios for different time periods  
Probabilities of  $\chi^2$  about 25%



# Gaussian $CL_s$

(arXiv:1407.5052v4 [hep-ex])

- Помещаем точки на кривую (Asimov data set)  
 $\text{Gauss}(\mu, \sigma): \mu = \Delta\chi^2 = \chi_s^2 - \chi_0^2 = -\chi_0^2, \sigma = 2\sqrt{|\Delta\chi^2|};$   
 $\mu_{H_0} = -\mu_{H_1}, \sigma_{H_0} = \sigma_{H_1}$
- Вычисляем  $\Delta\chi^2$  для данных
- Определяем уровень достоверности  $CL = \frac{1-CL_1}{1-CL_0}$ , где  $CL_i = \int_{-\infty}^{\Delta\chi_{data}^2} G_i$



# Preliminary results

Exclusion region was calculated using Gaussian CLs method

(X.Qian et al. NIMA, 827, 63 (2016))

CLs method is more conservative than usual Confidence Interval method

Systematics studies include variations in:

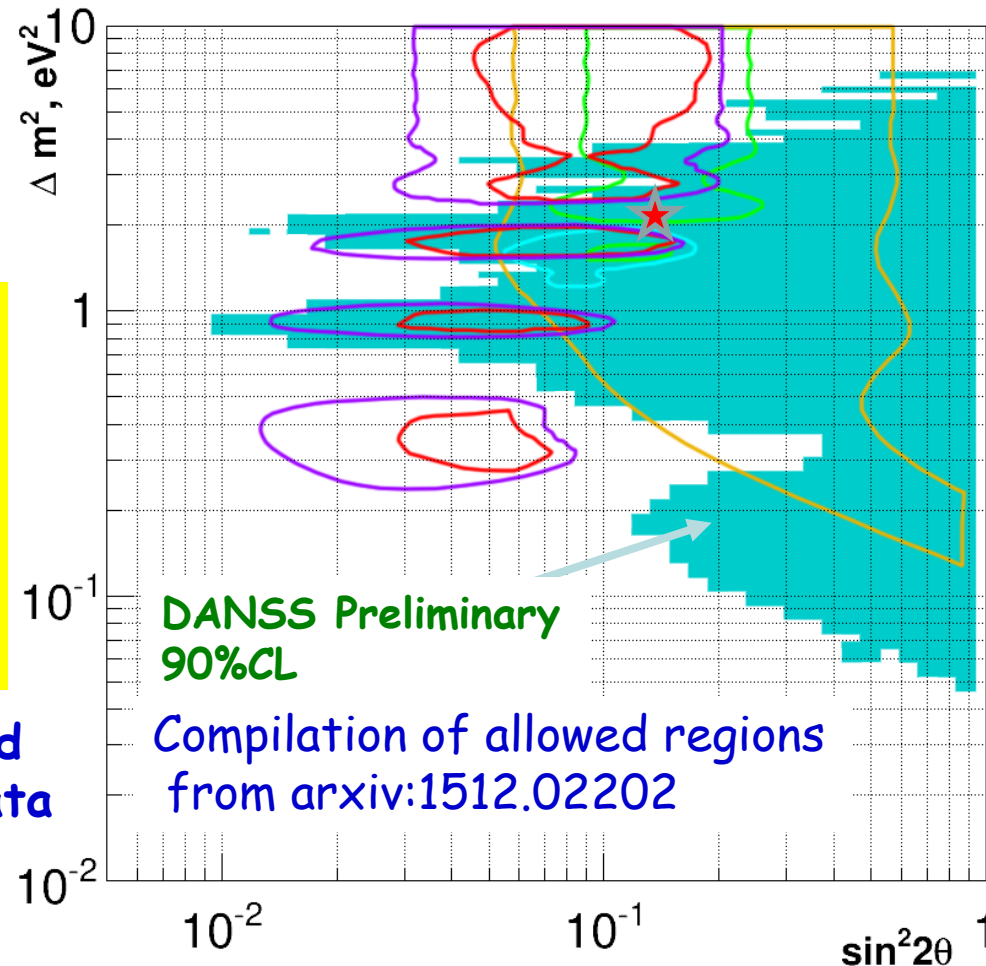
- Burning profile in reactor core
- Energy resolution  $\pm\%$
- Level of cosmics background  $\pm 0.5\%$
- Energy intervals used in fit (1.5-6)

Systematics influence is small

A large fraction of allowed parameter region is excluded by preliminary DANSS results using only ratio of  $e^+$  spectrum at different  $L$  (independent on  $\bar{\nu}$  spectrum, detector efficiency,...)

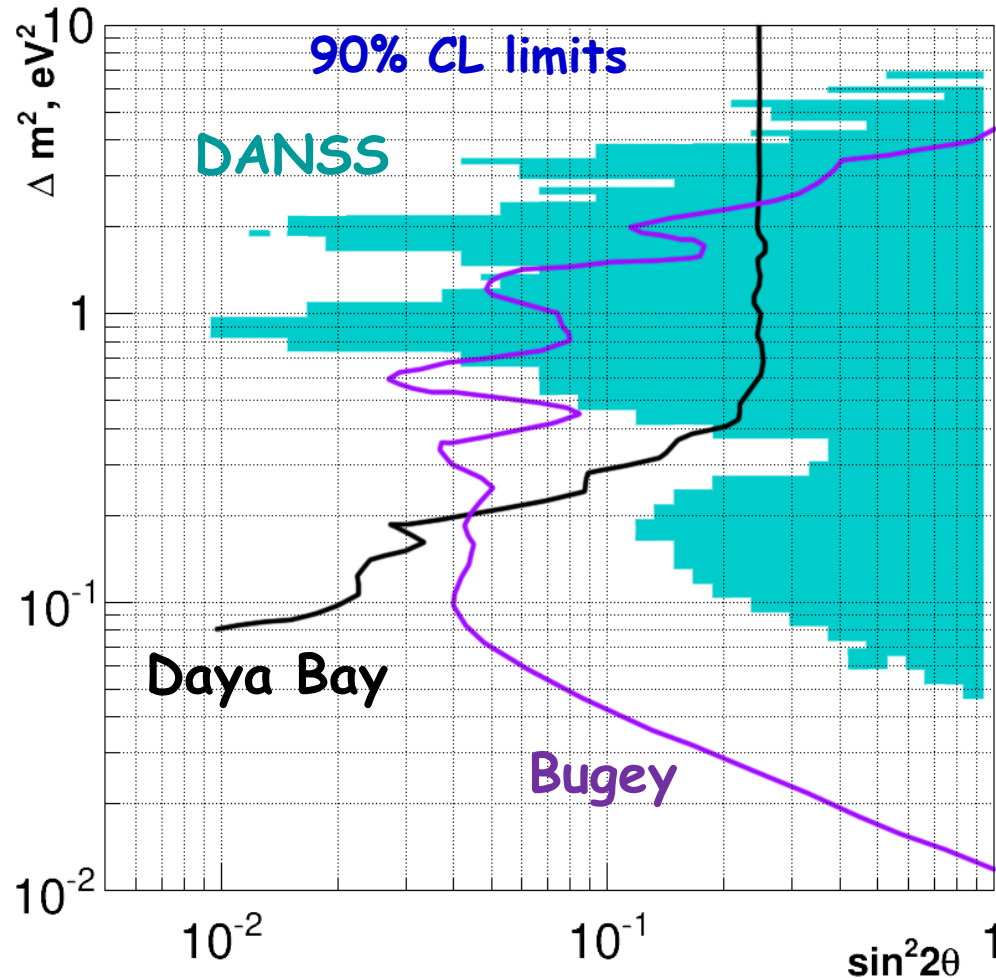
-DANSS plans to collect more data and to include into analysis all available data

-Detector calibration and systematics studies will be continued



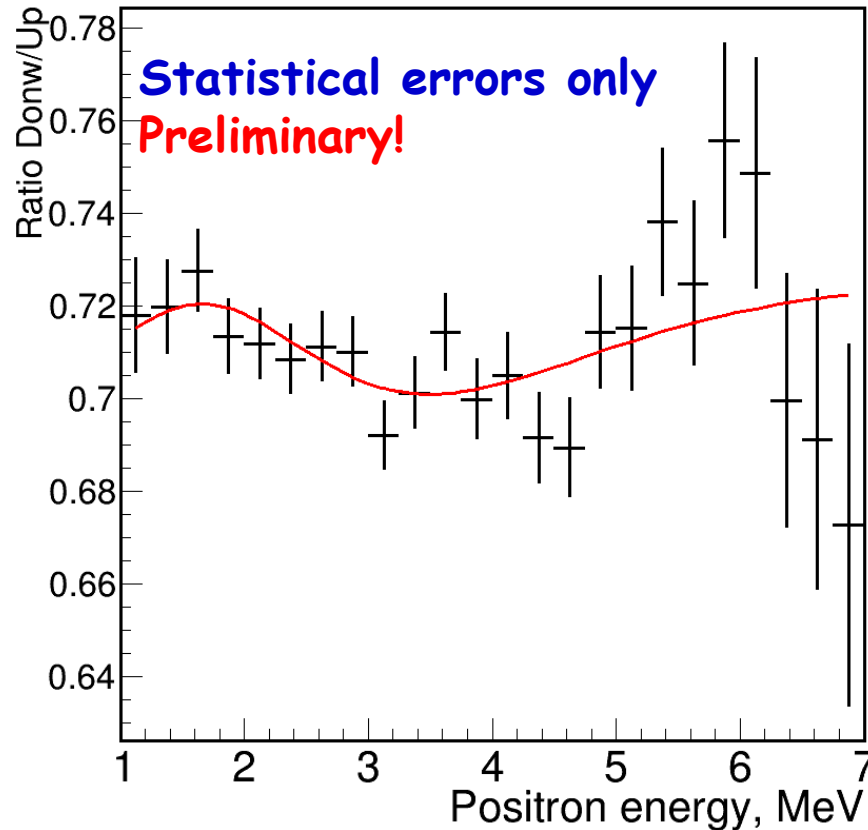
# Comparison with experiments based on spectra ratio at different distances

NEOS is not included since it is normalized on spectrum  
from different experiment (and reactor)



**Best point:**

$\Delta M^2=1.4$ ,  $\sin^2(2\theta)=0.045$ ,  $\chi^2=22$  Prob.=0.58  $\Delta\chi^2=13.3$  ( $3\sigma$ )



Significance will be estimated using Feldman and Cousins method with systematic uncertainties after collection more data

# Summary

- ❑ DANSS records about 5000 antineutrino events per day with cosmic background  $<3\%$
- ❑ DANSS counting rate consistent with reactor power within  $\sim 1\%$ . During reactor shutdown it is consistent with 0 after subtraction of  $\sim 3\%$  cosmic background and  $0.6\%$  flux from adjacent reactors
- ❑ Antineutrino spectrum and counting rate dependence on fuel composition is clearly observed
- ❑ Preliminary DANSS analysis based on 662 thousand IBD events excludes a large and the most interesting fraction of available parameter space for sterile neutrino using only ratio of  $e^+$  spectra at two distances (with no dependence on  $\nu$  spectrum and detector efficiency!)
- ❑ Significance of the best fit point will be evaluated using more elaborated methods and more statistics



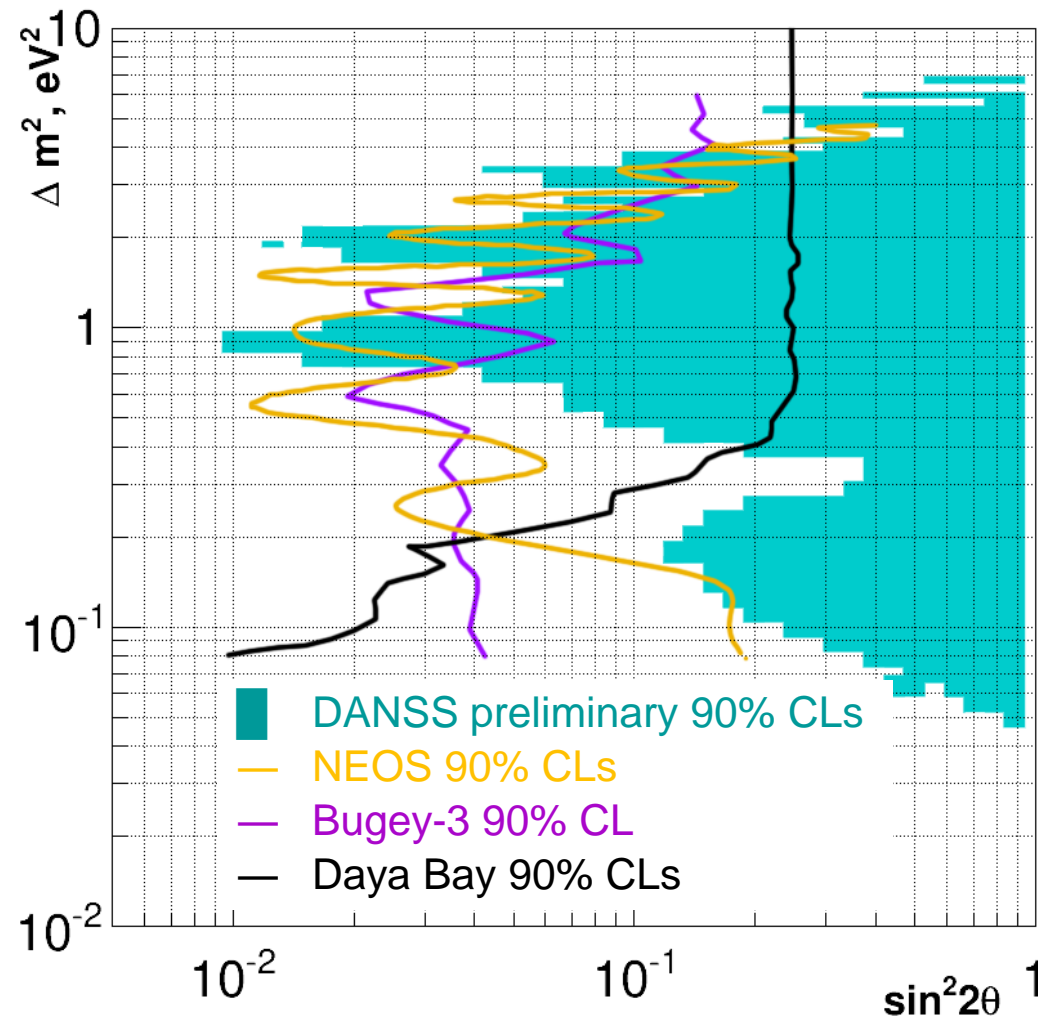
We plan to collect more data,  
To improve MC for perfect description of detector response  
To refine detector calibration  
To continue systematic studies  
To include all available statistics into analysis

**Thank you !**

# Backup slides

# Comparison with other experiments

NEOS - normalization on Daya Bay  $\rightarrow$  systematic errors?  
Bugey - use of "old" reactor model  $\rightarrow$  Systematic errors?



arXiv:1610.05134 [hep-ex]

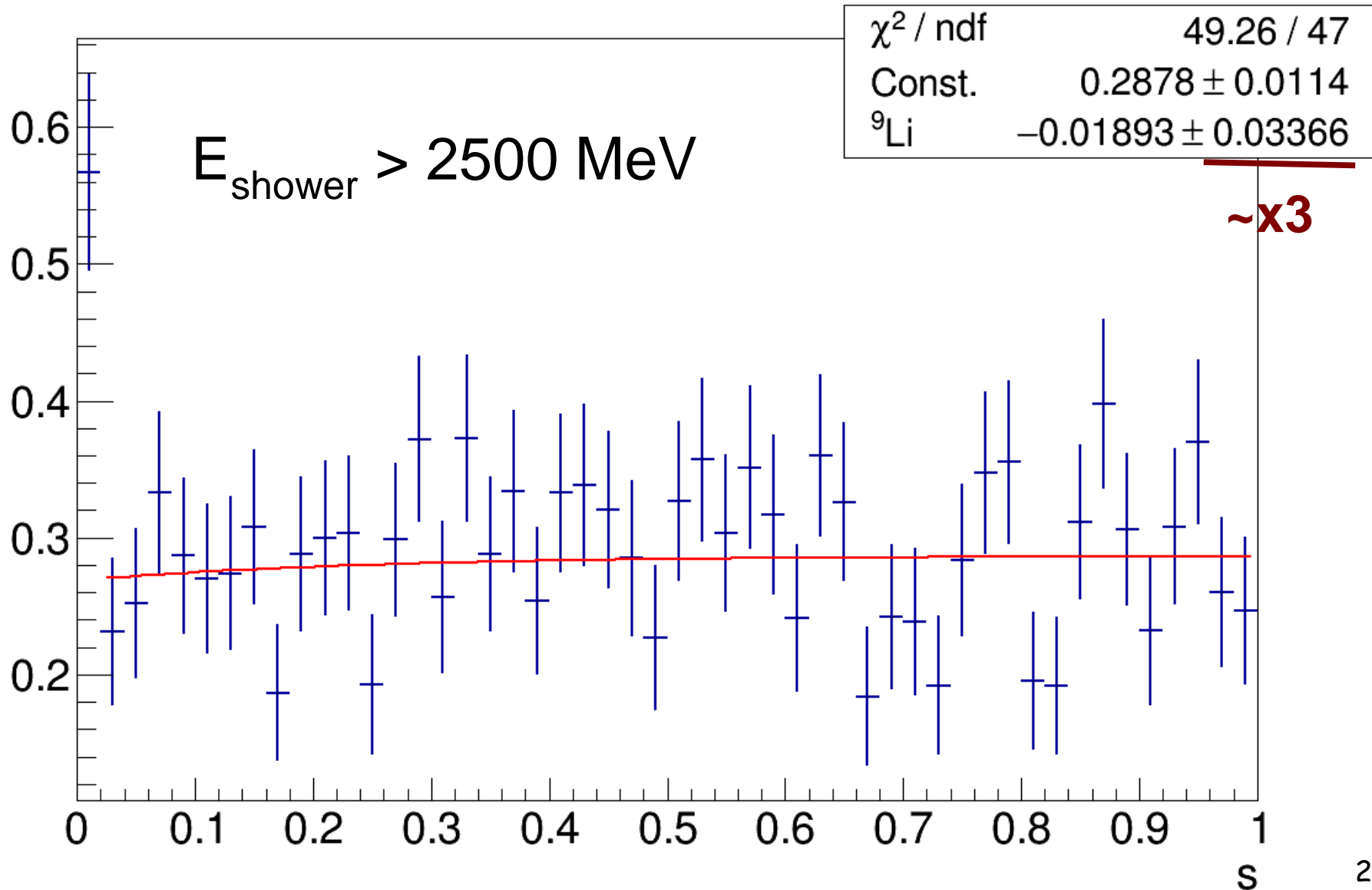


# ${}^9\text{Li}$ and ${}^8\text{He}$ background estimation

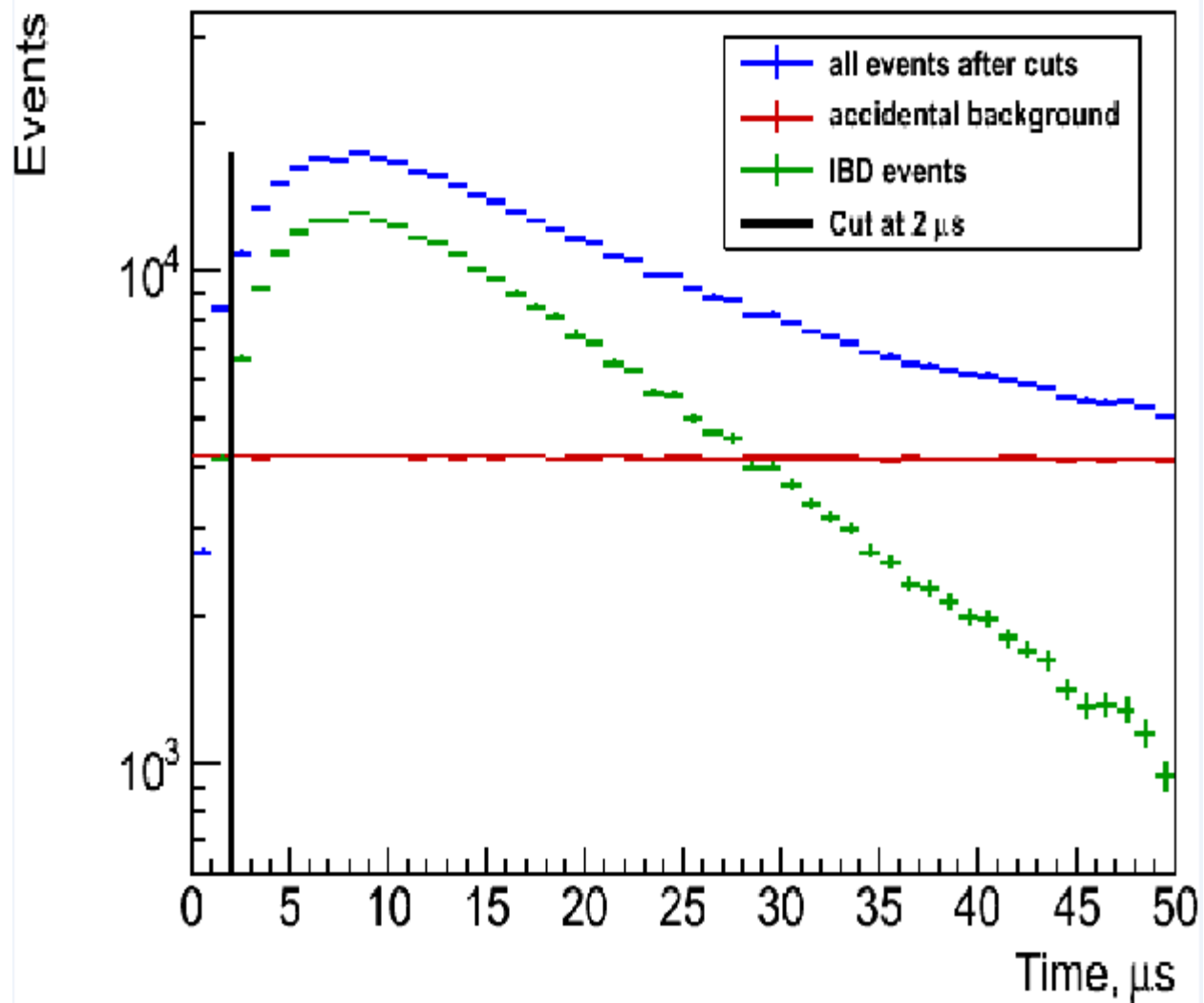
90%CL limit:  $1.64 * 3 * 0.034 * 257.2 / 20 = 2.2$  events/day

Fit with sum of two exponents

Events / day

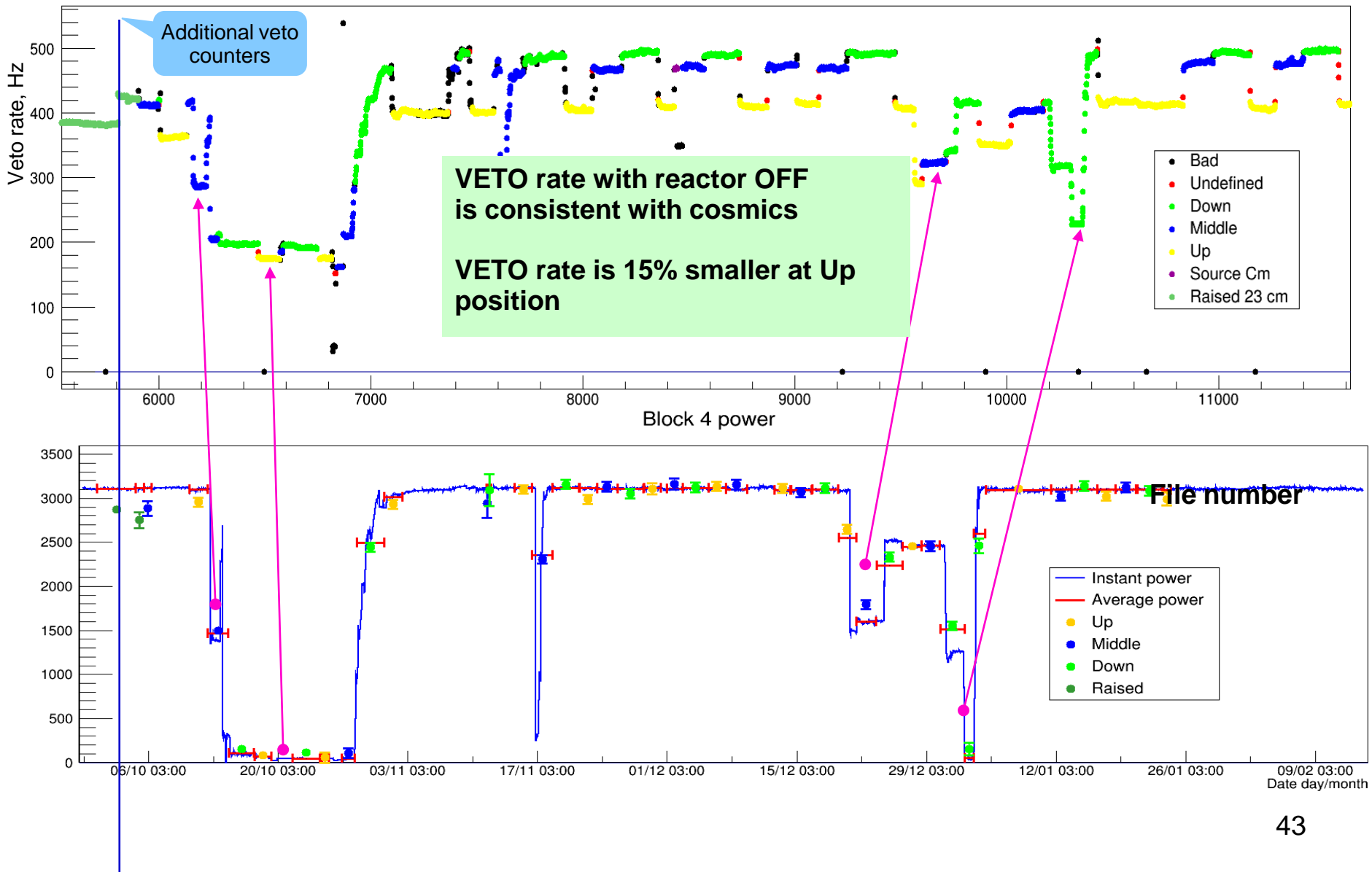


## Delay time of the neutron signal

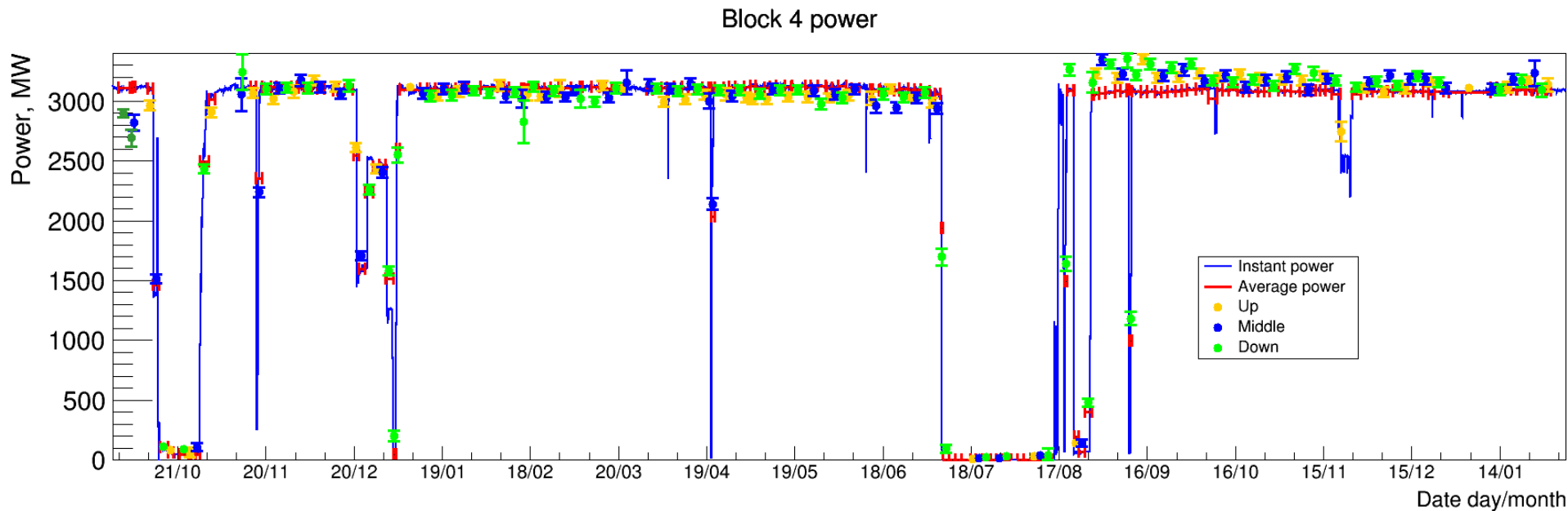


# Veto

Veto frequency, Hz

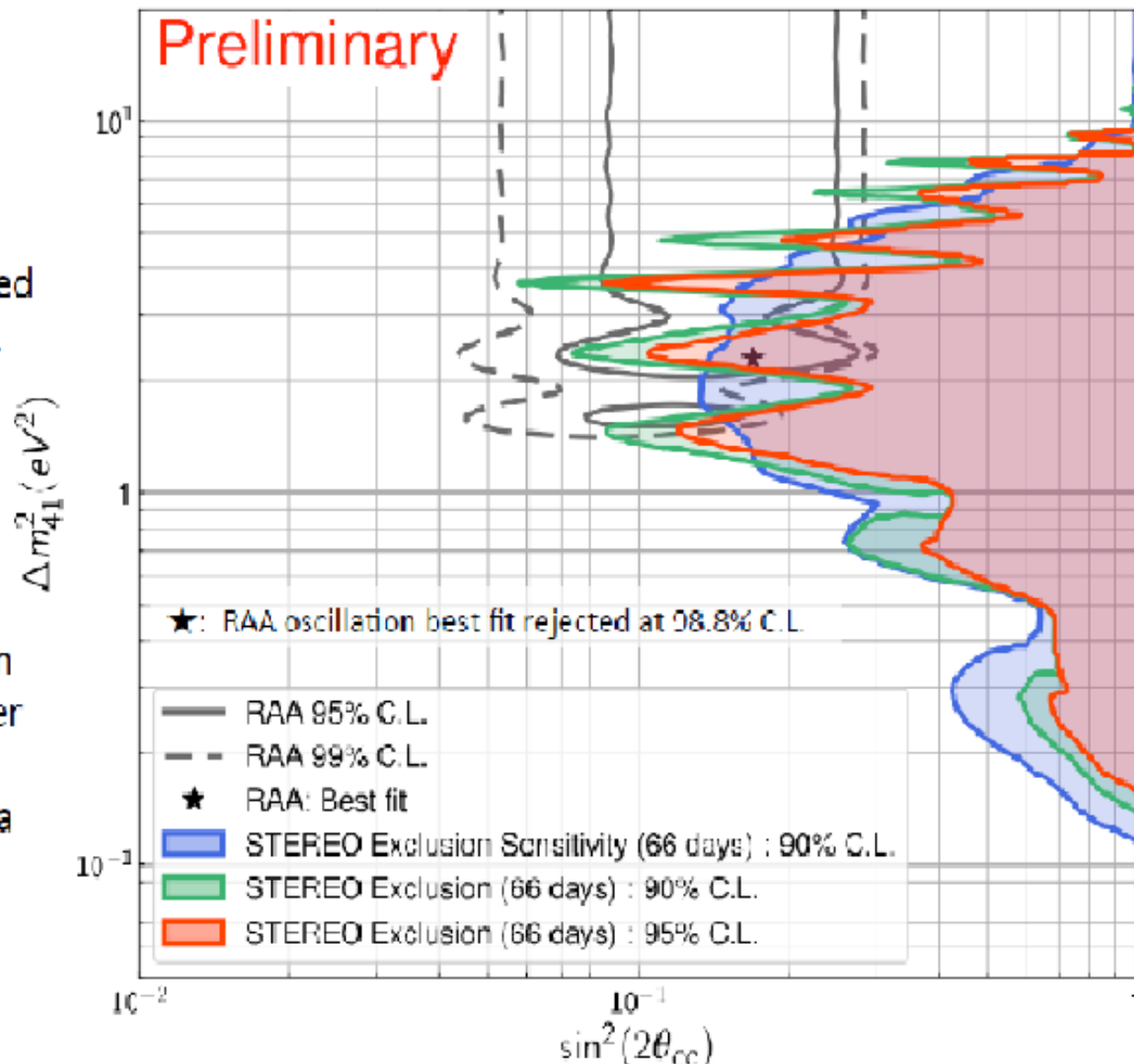


# Comparison of reactor power and DANSS rate without correction for fuel evolution



# STEREO Contours

- Raster scan approach.
- $\Delta\chi^2$  law simulated in each  $\Delta m^2$  bin.
- Reject oscillation amplitudes larger than statistical fluctuations for a given C.L.



16/03/2018

17

# SoLid at BR2

arXiv:1703.01683  
arXiv:1807.07884v1

Detector technology: plastic scintillator (PVT) with LiF:ZnS(Ag) phosphor screens

High efficiency neutron ID

PVT has excellent linearity in energy range

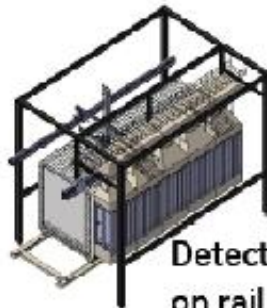
Fine segmentation 12800 cubes to isolate positron energy

50 detector planes, 1.6 tons, 3500 read out channels

Installed 6.2 m from BR2 reactor, SCK•CEN mol, Belgium



5 x 5 x 5 cm cube

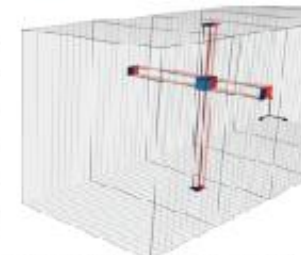
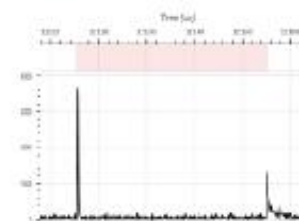
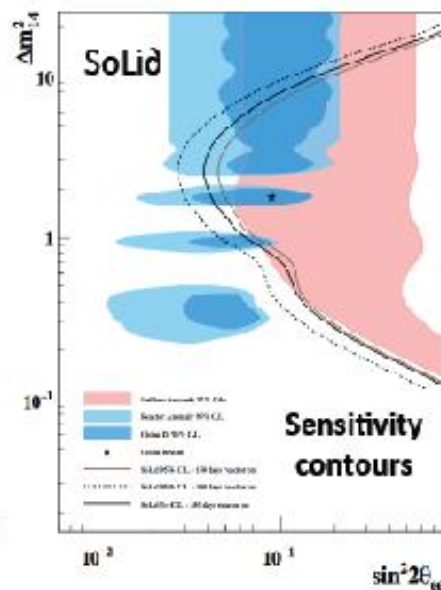


Detector on rail

Phase-1 data taking started !



Parameters	Objectives
Total mass	1.6 t
IBD efficiency	30 %
Threshold	200 - 500 keV
Anti-neutrinos	~1000 d <sup>-1</sup>
Signal/Background	~3
Energy resolution	14% @ 1 MeV
Systematic uncertainty	2.5 - 4.5 %

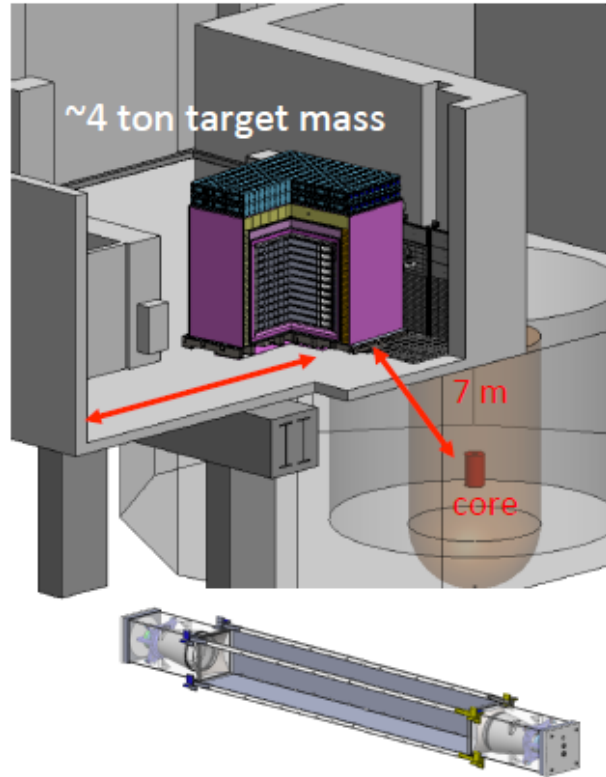


Antineutrino candidate in commissioning data

Commissioning completed successfully in December 2017

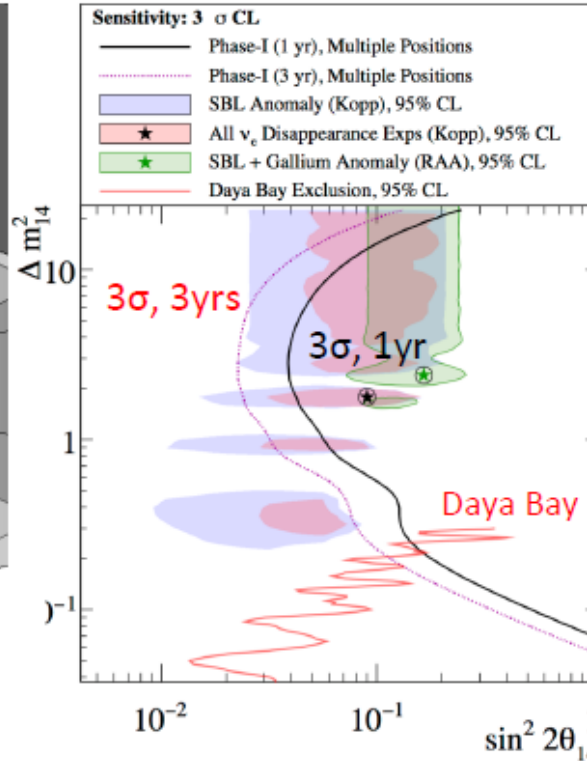
# PROSPECT – HFIR@ORNL

## Segmented, ${}^6\text{Li}$ -loaded Movable Detector



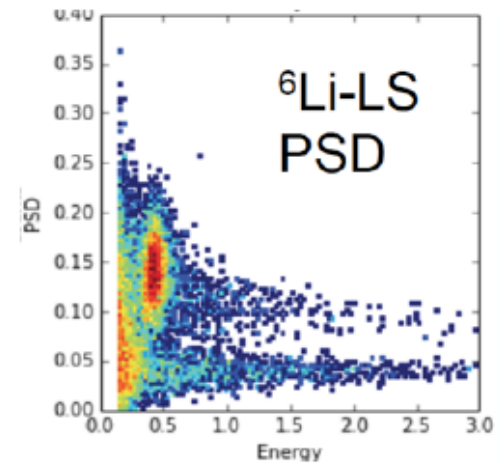
### Segmented Detector

14x11 segments  
 $\sim 4.5\%/vE$  resolution



### Objectives

$4\sigma$  test of best fit after 1 year  
 $>3\sigma$  test of favored region after 3 years



### Discriminant n-capture on ${}^6\text{Li}$



Detector construction complete  
 Installation happening now at HFIR  
**Online soon!**