

# Wormholes in scalar-tensor theories

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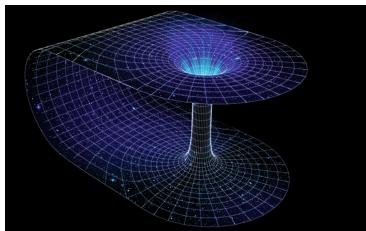
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# Traversable wormholes and their stability

Einstein, Rosen (1935), Wheeler (1962), Ellis (1973), Bronnikov (1973), Morris, Thorne (1988)



- The non-trivial feature of traversable wormholes: the necessity to fill the throat with matter, which violates the NEC/NCC
- Different options for supporting the throat: quantum effects (for microscopic wormholes), phantom scalar field, modified gravity
- One of the approaches to modifying gravity is to add extra DOFs, e.g. coupling GR to a scalar field  $\rightarrow$  Scalar-tensor theories

# Generalized Galileon a.k.a. Horndeski theory and beyond

*Horndeski (1974)*

*Deffayet, Gao, Steer, Zahariade (2011)*

*Zumalacárregui, García-Bellido (2014)*

*Gleyzes, Langlois, Piazza, Vernizzi (2015)*

$$S = \int d^4x \sqrt{-g} (\mathcal{L}_2 + \mathcal{L}_3 + \mathcal{L}_4 + \mathcal{L}_5 + \mathcal{L}_{\mathcal{BH}}),$$

$$\mathcal{L}_2 = F(\pi, X),$$

$$\mathcal{L}_3 = K(\pi, X) \square \pi,$$

$$\mathcal{L}_4 = -G_4(\pi, X) R + 2G_{4X}(\pi, X) [(\square \pi)^2 - \pi_{;\mu\nu} \pi^{;\mu\nu}],$$

$$\mathcal{L}_5 = G_5(\pi, X) G^{\mu\nu} \pi_{;\mu\nu} + \frac{1}{3} G_{5X} [(\square \pi)^3 - 3 \square \pi \pi_{;\mu\nu} \pi^{;\mu\nu} + 2 \pi_{;\mu\nu} \pi^{;\mu\rho} \pi_{;\rho}{}^{\nu}],$$

$$\begin{aligned} \mathcal{L}_{\mathcal{BH}} = & F_4(\pi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \pi_{;\mu} \pi_{;\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} \\ & + F_5(\pi, X) \epsilon^{\mu\nu\rho\sigma} \epsilon^{\mu'\nu'\rho'\sigma'} \pi_{;\mu} \pi_{;\mu'} \pi_{;\nu\nu'} \pi_{;\rho\rho'} \pi_{;\sigma\sigma'}. \end{aligned}$$

$\pi$  is a scalar field,  $X = g^{\mu\nu} \pi_{;\mu} \pi_{;\nu}$ ,  $\pi_{;\mu} = \partial_\mu \pi$ ,  $\pi_{;\mu\nu} = \nabla_\nu \nabla_\mu \pi$ ,  $\square \pi = g^{\mu\nu} \nabla_\nu \nabla_\mu \pi$ ,  $G_{iX} = \partial G_i / \partial X$ .

- Healthy NEC/NCC violation
- Stability issue: pathological DOFs may show up on the level of perturbations

- There is tension between stability conditions and NEC-violation requirement for wormholes in cubic Galileon theory:

$$\mathcal{L}_3 = -\frac{1}{2\kappa}R + F(\pi, X) + K(\pi, X)\square\pi$$

*Rubakov, 2015 (1509.08808)*

- Wormholes in  $\mathcal{L}_3$  are always plagued with ghost (no-go theorem in  $\mathcal{L}_3$ )

*Rubakov, 2016 (1601.06566)*

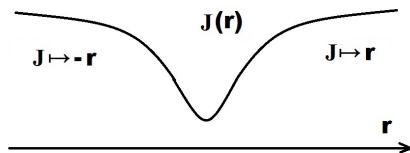
- No-go theorem is still valid for  $\mathcal{L}_3$  + conventional scalar

*Kolevatov, Mironov, 2016 (1607.04099)*

- No-go theorem for wormholes in Horndeski theories: *static, spherically symmetric wormholes suffer from ghost instabilities in some region of space around them*

*Evseev, Melichev, 2018 (1711.04152)*

# Wormhole: background setup



- Static, spherically-symmetric wormhole:

$$ds^2 = -A(r) dt^2 + \frac{dr^2}{B(r)} + J^2(r) (d\theta^2 + \sin^2 \theta d\varphi^2)$$

where

$$A(r) \geq A_{min} > 0, \quad B(r) \geq B_{min} > 0, \quad J(r) \geq R_{min} > 0$$

- Asymptotically flat geometry
- Background Galileon field  $\pi(r)$  – static and spherically-symmetric

*Stable solutions* are free from any kind of pathological DOFs among linear perturbations, i.e. ghosts, gradient instabilities, tachyons

# Perturbations about a wormhole

- 2+1 DOFs: 1 odd-parity and 2 even-parity modes (w.r.t. 2D reflection)
- Odd-parity sector ( $Q$ ):

$$S_{\text{odd}}^{(2)} = \int dt dr \sqrt{\frac{B}{A}} J^2 \cdot \left[ \frac{\mathcal{H}^2}{AG} \dot{Q}^2 - \frac{B\mathcal{H}^2}{\mathcal{F}} (Q')^2 - \frac{\ell(\ell+1)}{J^2} \cdot \mathcal{H}Q^2 - V(r)Q^2 \right]$$

- Even-parity sector ( $v_i$ ,  $i = 1, 2$ ):

$$S_{\text{even}}^{(2)} = \int dt dr \sqrt{\frac{A}{B}} J^2 \left( \frac{1}{2} \mathcal{K}_{ij} \dot{v}^i \dot{v}^j - \frac{1}{2} \mathcal{G}_{ij} v^{i'} v^{j'} - \mathcal{Q}_{ij} v^i v^{j'} - \frac{1}{2} \ell^2 \mathcal{M}_{(\ell^2)ij} v^i v^j - \frac{1}{2} \mathcal{M}_{ij} v^i v^j \right)$$

## Stability conditions

No ghosts:  $\mathcal{G} > 0$ ,  $\mathcal{K}_{11} > 0$ ,  $\det(\mathcal{K}) > 0$ ,

No radial gradient instabilities:  $\mathcal{F} > 0$ ,  $\mathcal{G}_{11} > 0$ ,  $\det(\mathcal{G}) > 0$ ,

No angular gradient instabilities:  $\mathcal{H} > 0$ ,  $\mathcal{M}_{(\ell^2)11} > 0$ ,  $\det(\mathcal{M}_{(\ell^2)}) > 0$ .

# No-go theorem and its circumvention

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The no-go theorem in Horndeski theory is based on the no-ghost constraint for even-parity sector:

$$\det \mathcal{K} \sim \mathcal{F} \left( 2 \frac{d\xi}{dr} - \mathcal{F} \right) > 0$$
$$\Rightarrow$$
$$\xi = \frac{(J\mathcal{H})^2}{\Theta}$$

- Key requirement:  $\xi$  has to cross zero

*Franciolini, Hui, Santoni, Trischerini, 2019*

*Mironov, Rubakov, VV, 2019*

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$$\xi = \frac{(J\mathcal{H})^2}{\Theta} \quad \tilde{\xi} = \frac{J^2 \mathcal{H} (\mathcal{H} - \mathcal{D})}{\Theta}$$

- Key requirement:  $\xi$  has to cross zero
- One evades the no-go by going beyond Horndeski thanks to a new contribution  $\mathcal{D}(F_4, F_5)$

*Franciolini, Hui, Santoni, Trincherini, 2019*

*Mironov, Rubakov, VV, 2019*



# Stable wormhole: reverse engineering

- Choose a specific wormhole metric:

$$ds^2 = -A(r) dt^2 + \frac{dr^2}{B(r)} + J^2(r) (d\theta^2 + \sin^2 \theta d\varphi^2)$$
$$A = 1, \quad B = 1 + 2 \operatorname{sech} \left( \frac{r}{r_{min}} \right), \quad J = \ln \left[ 1 + 2 \cosh \left( \frac{r}{r_{min}} \right) \right].$$

and the Galileon field profile:

$$\pi_0(r) = \tanh \left( \frac{r}{r_{min}} \right) - 1, \quad \rightarrow \quad X = -\frac{\operatorname{sech} \left( \frac{r}{r_{min}} \right)^4}{2 \left( 1 + \operatorname{sech} \left( \frac{r}{r_{min}} \right) \right)}$$

- Take an Ansatz for Lagrangian functions as a power series of  $X$ :

$$F(\pi, X) = f_0(\pi) + f_1(\pi) \cdot X + f_2(\pi) \cdot X^2,$$

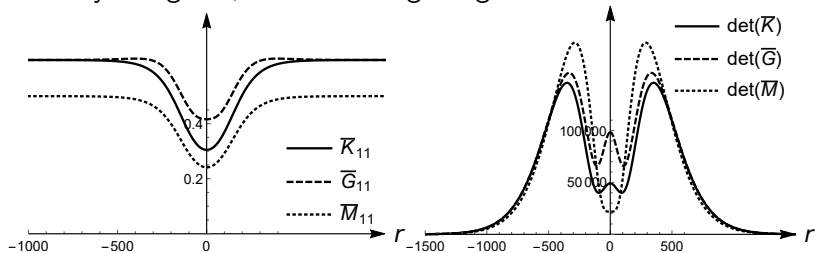
$$G_4(\pi, X) = \frac{1}{2} + g_{40}(\pi) + g_{41}(\pi) \cdot X,$$

$$F_4(\pi, X) = f_{40}(\pi) + f_{41}(\pi) \cdot X$$

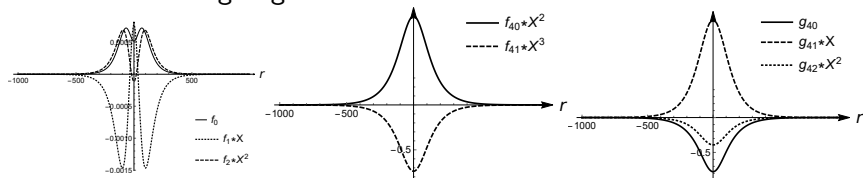
- Reconstruct the functions  $f_i$ ,  $g_{4i}$  and  $f_{4i}$  by satisfying:  
(a) background equations of motion (b) stability conditions

# Semi-stable wormhole: an example

- Stability: no ghost, radial and angular gradient instabilities



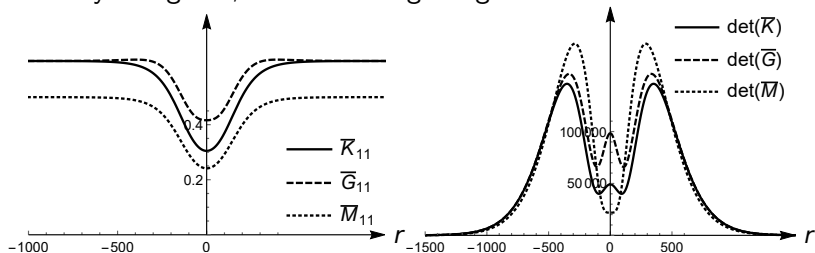
- Reconstructed Lagrangian functions:



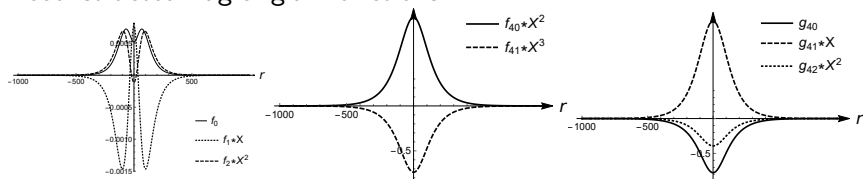
*There exists a wormhole solution that is stable w.r.t. high energy perturbations*

# Semi-stable wormhole: an example

- Stability: no ghost, radial and angular gradient instabilities



- Reconstructed Lagrangian functions:



There exists a wormhole solution that is stable w.r.t. high energy perturbations  $\rightarrow$  *what about low energy modes?*

# Complete stability: tachyons

$$S_{\text{even}}^{(2)} = \int dt dr \sqrt{\frac{A}{B}} J^2 \left( \frac{1}{2} \mathcal{K}_{ij} \dot{v}^i \dot{v}^j - \frac{1}{2} \mathcal{G}_{ij} v^{i'} v^{j'} - \mathcal{Q}_{ij} v^i v^{j'} - \frac{1}{2} \ell^2 \mathcal{M}_{(\ell^2)ij} v^i v^j - \frac{1}{2} \mathcal{M}_{ij} v^i v^j \right)$$

No ghosts:  $\mathcal{G} > 0$ ,  $\mathcal{K}_{22} > 0$ ,  $\det \mathcal{K} > 0$ ,

No radial gradient instabilities:  $\mathcal{F} > 0$ ,  $\mathcal{G}_{22} > 0$ ,  $\det \mathcal{G} > 0$ ,

No angular gradient instabilities:  $\mathcal{H} > 0$ ,  $\mathcal{M}_{(\ell^2)22} > 0$ ,  $\det \mathcal{M}_{(\ell^2)} > 0$ ,

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$$\mathcal{M}_{11} = \frac{\sqrt{AB}}{4J^2} \frac{\mathcal{N}}{\mathcal{H}^2} - \frac{\sqrt{A}}{4\sqrt{B}J^2} \frac{\Theta(\Theta + \mathcal{W})}{(\ell^2 + \ell - 2) \mathcal{F}} + \frac{1}{4\ell(\ell + 1)} \left( (\ell^2 + \ell - 2) \frac{\sqrt{B}}{\sqrt{A}} \mathcal{H} \mathcal{T}^2 - \left[ \sqrt{B} \frac{\Theta \mathcal{H} \mathcal{T}}{\mathcal{F}} \right]' \right),$$

$$\begin{aligned} \mathcal{M}_{22} = & - \frac{\ell(\ell + 1)}{(\ell^2 + \ell - 2)} \left( \frac{\sqrt{AB}}{J^2} \mathcal{H} \left[ \ell(\ell + 1)(\ell^2 + \ell - 2) - (2 + J^2 \mathcal{D}' - \ell(\ell + 1))^2 \right] + \right. \\ & \left[ \frac{\sqrt{AB}}{J} \frac{\mathcal{H}}{\Theta} \left( 2(\ell^2 + \ell - 2) B \left[ \ell(\ell + 1) J^2 \left( \mathcal{H} \left( \frac{A'}{A} + 2 \frac{J'}{J} \right) + \Gamma \pi' \right) - (2\mathcal{H} J J' + \Xi \pi')(2 + J^2 \mathcal{D}') \right] + \right. \right. \\ & \left. \left. \mathcal{J} \mathcal{D} [\ell(\ell + 1) \mathcal{W} + \Theta(2 + J^2 \mathcal{D}')] \right] \right)', \end{aligned}$$

$$\begin{aligned} \mathcal{M}_{12} = & \frac{1}{2(\ell^2 + \ell - 2)} \left( \frac{\Theta}{\mathcal{F} J} \left[ \sqrt{AB} \mathcal{H} (2 + J^2 \mathcal{D}') \right]' + \frac{\sqrt{A}}{2\sqrt{B} J^2} \left( \ell(\ell + 1) J \left[ \mathcal{D} \mathcal{W} - B \frac{\Theta \mathcal{H}}{\mathcal{F}} \left( \frac{A'}{A} - 2 \frac{J'}{J} \right) \right] \right. \right. \\ & \left. \left. + 2(\ell^2 + \ell - 2) B \left[ \ell(\ell + 1) J^2 \left( \mathcal{H} \left( \frac{A'}{A} + 2 \frac{J'}{J} \right) + \Gamma \pi' \right) - (2\mathcal{H} J J' + \Xi \pi')(2 + J^2 \mathcal{D}') \right] \right) \right). \end{aligned}$$

# Superluminality problem

$$S_{\text{odd}}^{(2)} = \int dt dr \sqrt{\frac{B}{A}} J^2 \cdot \left[ \frac{\mathcal{H}^2}{AG} \dot{Q}^2 - \frac{B\mathcal{H}^2}{\mathcal{F}} (Q')^2 - \frac{\ell(\ell+1)}{J^2} \cdot \mathcal{H}Q^2 - V(r)Q^2 \right]$$
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No tachyons:  $\mathcal{M}_{22} > 0$ ,  $\det \mathcal{M} > 0$

Odd-parity modes:  $c_r^2 = \frac{\mathcal{G}}{\mathcal{F}}$ ,  $c_\theta^2 = \frac{\mathcal{G}}{\mathcal{H}}$ ,

Even-parity modes (radial):  $c_r^2$  are eigenvalues of  $\mathcal{K}^{-1}\mathcal{G}$ ,

Even-parity modes (angular):  $c_\theta^2$  are eigenvalues of  $\mathcal{K}^{-1}\mathcal{M}_{(\ell^2)}$

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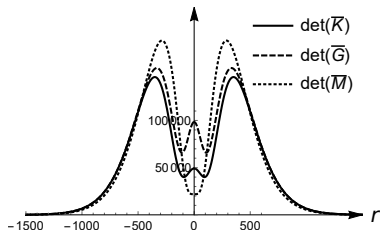
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Even-parity modes (angular):  $c_{\theta,1,2}^2$  are eigenvalues of  $\mathcal{K}^{-1}\mathcal{M}_{(\ell^2)}$

$$c_{a1}^2 \cdot c_{a2}^2 = \frac{J^4}{A^2} \frac{\det \mathcal{M}_{(\ell^2)}}{\det \mathcal{K}}$$

One of the sound speeds  $c_a^2 > 1$ .



# Conclusion and outlook

- There are no completely stable static, spherically symmetric wormholes in Horndeski theory
- It is possible in principle to construct a wormhole free from ghosts and gradient instabilities in beyond Horndeski theory (also in DHOST)
- Stability conditions for any static, spherically symmetric background were derived for beyond Horndeski class – useful tool for stability analysis
- A *completely* stable wormhole in beyond Horndeski theory is still to be constructed



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**Thank you for your attention!**



# Types of instabilities

- Consider a spherically-symmetric scalar field  $\pi = \pi_0(r) + \chi(t, r)$  in Minkowski space:

$$\delta^2 S = \int d^4x \left[ \frac{1}{2} U(r) \dot{\chi}^2 - \frac{1}{2} V(r) (\partial_i \chi)^2 - \frac{1}{2} W(r) \chi^2 \right].$$

- Dispersion relation and energy density for  $\chi$ :

$$U\omega^2 = Vp^2 + W,$$

$$T_{00} = \frac{1}{2} U \dot{\chi}^2 + \frac{1}{2} V (\partial_i \chi)^2 + \frac{1}{2} W \chi^2$$

- Stable background:**  $U > 0$ ,  $V > 0$ ,  $W \geq 0$
- Tachyonic instability (imaginary  $\omega$  at low  $p$ ):  $U > 0$ ,  $V > 0$ ,  $W < 0$
- Gradient instability (imaginary  $\omega$  at high  $p$ ):  
 $U > 0$ ,  $V < 0$  or  $U < 0$ ,  $V > 0$
- Ghost instability:  $U < 0$ ,  $V < 0$  (quantum-mechanically unstable background)